

31 The minimum rank problem for a simple or loop graph is to determine the mini-
32 mum of the ranks among the matrices in the family described by the simple or loop
33 graph. The minimum rank problem for simple graphs has been studied extensively
34 (see [14] and the references therein). Some work has been done on loop graphs, includ-
35 ing complete determination of minimum rank for loop trees [12], cut-vertex reduction
36 [23], and characterizations of extreme minimum rank for loop graphs that do not have
37 loops [16]. However, far fewer results have been obtained about the minimum rank
38 problem for loop graphs than for simple graphs.

39 Characterizations of loop graphs having minimum rank at most two (by forbidden
40 induced subgraphs and graph complements) are established in Section 2; the proof
41 makes use of the analogous characterizations for simple graphs [5], but the forbidden
42 subgraphs are substantially different. In Section 3 the characterization of minimum
43 rank equal to order if and only if there is a unique spanning generalized cycle is
44 extended from loopless loop graphs (zero diagonal minimum rank) [16] to every loop
45 graph. Section 4 presents a technique for reducing the minimum rank problem for
46 a specific graph to a smaller one through the use of the Schur complement. This
47 method is applied to cycles in Section 5, which contains characterizations of the
48 minimum ranks of complete graphs, paths, and cycles with various configurations of
49 loops. Unlike simple graphs, loop graphs can have maximum rank less than the order
50 of the graph [16]. Thus it is of interest to determine the maximum rank and which
51 ranks between minimum and maximum can be realized; these topics are discussed
52 in Section 6. Section 7 presents examples showing that certain results, including the
53 Graph Complement Conjecture and Colin de Verdière type parameters do not extend
54 to loop graphs, and asks questions for future research.

55 **1.1. Notation and terminology.** For a *loop graph* $\mathfrak{G} = (V(\mathfrak{G}), E(\mathfrak{G}))$, the
56 finite nonempty set of vertices is denoted by $V(\mathfrak{G})$ and the set of edges $E(\mathfrak{G})$ is a set of
57 two element multisets of vertices (i.e., the two vertices in an edge need not be distinct).
58 A *loop* is an edge with two copies of one vertex. The edge $\{u, v\}$ is often denoted by uv
59 (or in the case of a loop $\{u, u\}$ by uu). A *simple graph* $G = (V(G), E(G))$ is defined
60 analogously, except an edge is a two element set of vertices (i.e., the two vertices in an
61 edge must be distinct). The subgraph $\mathfrak{G}[W]$ of $\mathfrak{G} = (V, E)$ *induced* by $W \subseteq V$ is the
62 subgraph with vertex set W and edge set $\{wu \mid wu \in E \text{ and } w, u \in W\}$. If \mathfrak{G}_1 and \mathfrak{G}_2
63 are disjoint loop graphs, the *join* $\mathfrak{G}_1 \vee \mathfrak{G}_2$ of \mathfrak{G}_1 and \mathfrak{G}_2 is the graph with vertex set
64 $V(\mathfrak{G}_1 \vee \mathfrak{G}_2) = V(\mathfrak{G}_1) \dot{\cup} V(\mathfrak{G}_2)$ and edge set $E(\mathfrak{G}_1 \vee \mathfrak{G}_2) = E(\mathfrak{G}_1) \cup E(\mathfrak{G}_2) \cup E$, where
65 E consists of all the edges uv with $u \in V(\mathfrak{G}_1)$ and $v \in V(\mathfrak{G}_2)$. A *trail* (v_1, v_2, \dots, v_t)
66 in a simple or loop graph is a subgraph with vertex set $\{v_1, v_2, \dots, v_t\}$ and edge set
67 $\{v_1v_2, v_2v_3, \dots, v_{t-1}v_t\}$ with no repeated edges (vertices may be repeated). A *path*
68 is a trail with no repeated vertices and a *cycle* is a trail in which $t \geq 3$, $v_1 = v_t$, and all
69 other vertices are distinct; a *k-cycle* is a cycle with k vertices.

70 For a symmetric $n \times n$ real matrix A , the *loop graph* of A is $\mathfrak{G}(A) = (V, E)$ where
71 $V = \{1, \dots, n\}$ and $E = \{uv \mid a_{uv} \neq 0\}$ (the definition of the simple graph $\mathcal{G}(A)$ is
72 analogous except the stipulation $u \neq v$ is made in defining E). Let $\mathfrak{G} = (V, E)$ be
73 a loop graph of order n (normally $V = \{1, \dots, n\}$; otherwise we associate V with
74 $\{1, \dots, n\}$). The set of real symmetric matrices described by \mathfrak{G} is

$$75 \quad \mathcal{S}(\mathfrak{G}) = \{A \in \mathbb{R}^{n \times n} : A^\top = A \text{ and } \mathfrak{G}(A) = \mathfrak{G}\}$$

76 (the definition for a simple graph G is analogous using $\mathcal{G}(A)$). The adjacency matrix
77 $A_{\mathfrak{G}}$ is in $\mathcal{S}(\mathfrak{G})$ and analogously for a simple graph. The *minimum rank* and the
78 *maximum nullity* of a loop graph \mathfrak{G} are

$$79 \quad \text{mr}(\mathfrak{G}) = \min\{\text{rank } A \mid A \in \mathcal{S}(\mathfrak{G})\} \quad \text{and} \quad \text{M}(\mathfrak{G}) = \max\{\text{null } A \mid A \in \mathcal{S}(\mathfrak{G})\}$$

80 (the definitions for a simple graph G are analogous). Clearly $\text{mr}(\mathfrak{G}) + \text{M}(\mathfrak{G}) = |\mathfrak{G}|$.

81 By definition, the complete graph on n vertices, \mathfrak{K}_n , has all loops, and the complete
82 bipartite graph on s and t vertices, $\mathfrak{K}_{s,t}$, has no loops. For any loop graph \mathfrak{G} , \mathfrak{G}^0
83 denotes the loop graph having the same underlying simple graph as \mathfrak{G} but no loops,
84 and \mathfrak{G}^ℓ has the same underlying simple graph as \mathfrak{G} but all loops.

85 The *union* of loop graphs $\mathfrak{G}_i = (V_i, E_i)$ is $\bigcup_{i=1}^h \mathfrak{G}_i = (\bigcup_{i=1}^h V_i, \bigcup_{i=1}^h E_i)$; if the V_i
86 are pairwise disjoint, then the union can be denoted by $\dot{\bigcup}_{i=1}^h \mathfrak{G}_i$. Let $\mathfrak{G} = (V, E)$ be
87 a loop graph of order n . The *complement* of \mathfrak{G} is the loop graph $\overline{\mathfrak{G}} = (V, \overline{E})$ where
88 $\overline{E} = E(\mathfrak{K}_n) \setminus E$. Vertex u is a *neighbor* of vertex v in \mathfrak{G} if and only if $uv \in E$ (this
89 applies to loops also, so u is a neighbor of itself if and only if it has a loop). The set
90 of neighbors of v is denoted by $N_{\mathfrak{G}}(v)$ (or $N(v)$ if \mathfrak{G} is clear). The *degree of vertex*
91 v in \mathfrak{G} is $\deg_{\mathfrak{G}} v = |N_{\mathfrak{G}}(v)|$ (and $\deg_{\mathfrak{G}} v$ can be denoted by $\deg v$ if \mathfrak{G} is clear). The
92 *minimum degree* of $\mathfrak{G} = (V, E)$ is $\delta(\mathfrak{G}) := \min\{\deg v \mid v \in V\}$.

93 Let A be an $n \times n$ matrix. For $\alpha, \beta \subseteq \{1, 2, \dots, n\}$, the submatrix of A lying in
94 rows indexed by α and columns indexed by β is denoted by $A[\alpha, \beta]$; $A[\alpha, \alpha]$ is also
95 denoted by $A[\alpha]$ and is a *principal* submatrix. We also define $A[\alpha, \alpha] := A[\alpha, \overline{\alpha}]$,
96 $A(\alpha, \alpha) := A[\overline{\alpha}, \alpha]$, and $A(\alpha) := A[\overline{\alpha}]$ where $\overline{\alpha} = \{1, 2, \dots, n\} \setminus \alpha$.

97 **1.2. Generalized cycles and the characteristic polynomial.** A *generalized*
98 *cycle* \mathcal{C} of a loop graph \mathfrak{G} is a subgraph of \mathfrak{G} where each connected component is one
99 of the following: a cycle, an edge (meaning an edge and its two distinct endpoints),
100 or a loop (meaning a vertex v and its edge vv). Generalized cycles are also called
101 $[1, 2]$ -*factors*. The *order* of \mathcal{C} is the number of vertices in \mathcal{C} . A generalized cycle of
102 order $|\mathfrak{G}|$ is said to be a *spanning* generalized cycle (or a *perfect* $[1, 2]$ -factor). The
103 following notation is adapted from [16]. Given a generalized cycle \mathcal{C} , define $\text{nc}(\mathcal{C})$ to
104 be the number of distinct cycles in \mathcal{C} , and $\text{ne}(\mathcal{C})$ to be the number of even components

105 of \mathcal{C} , that is, the number of edges plus the number of cycles of even order. The set
 106 of all generalized cycles of order k of a loop graph \mathfrak{G} is denoted by $\text{cyc}_k(\mathfrak{G})$. With a
 107 generalized cycle \mathcal{C} , we associate a permutation of the vertices of \mathcal{C} as follows. For each
 108 cycle in \mathcal{C} , fix an orientation and then associate a directed graph cycle (v_1, v_2, \dots, v_k)
 109 with the cyclic permutation $(v_1 v_2 \cdots v_k)$. Each edge component $v_1 v_2$ of \mathcal{C} is associated
 110 with the 2-cycle $(v_1 v_2)$ and each loop $v_1 v_1$ is associated with the permutation (v_1) ,
 111 which fixes v_1 . The permutation $\pi_{\mathcal{C}}$ is defined to be the product of these associated
 112 permutation cycles. Note that there are $2^{\text{nc}(\mathcal{C})}$ different choices for the orientation
 113 of the cycles of \mathcal{C} , and each choice yields a permutation that has the same sign as
 114 $\pi_{\mathcal{C}}$, namely $(-1)^{\text{nc}(\mathcal{C})}$. The sum of all $k \times k$ principal minors of an $n \times n$ matrix
 115 $A = [a_{ij}] \in \mathcal{S}(\mathfrak{G})$ is denoted $S_k(A)$, and can be expressed using generalized cycles [19]
 116 as

$$117 \quad S_k(A) = \sum_{\mathcal{C} \in \text{cyc}_k(\mathfrak{G}(A))} (-1)^{\text{nc}(\mathcal{C})} 2^{\text{nc}(\mathcal{C})} a_{i_1 \pi_{\mathcal{C}}(i_1)} \cdots a_{i_k \pi_{\mathcal{C}}(i_k)}$$

118 where $\{i_1, i_2, \dots, i_k\}$ is the vertex set of \mathcal{C} and the sum over the empty set is defined
 119 to be zero. The characteristic polynomial $p_A(x)$ of A is

$$120 \quad x^n - S_1(A)x^{n-1} + S_2(A)x^{n-2} + \cdots + (-1)^{n-1}S_{n-1}(A)x + (-1)^n S_n(A).$$

121 Note that for $A \in \mathcal{S}(\mathfrak{G})$, $\det A = S_n(A)$ can be computed using spanning general-
 122 ized cycles, and if \mathfrak{G} has a unique generalized cycle then $\det A \neq 0$. The next remark
 123 extends and generalizes Remark 1.4 in [16].

124 **REMARK 1.1.** For a real symmetric matrix A with $p_A(x) = x^n + c_1 x^{n-1} + \cdots +$
 125 $c_k x^{n-k} + \cdots + c_n$, $\text{rank } A = \max\{k \mid c_k \neq 0\}$. Let \mathfrak{G} be a loop graph of order n . If \mathfrak{G}
 126 has no generalized cycle of order k , then $\text{rank } A \neq k$ for all $A \in \mathcal{S}(\mathfrak{G})$. If \mathfrak{G} has no
 127 generalized cycle of order k for all $k > m$, then $\text{rank } A \leq m$ for all $A \in \mathcal{S}(\mathfrak{G})$, and
 128 hence $\text{mr}(\mathfrak{G}) \leq m$.

129 **1.3. Additional results.** This section contains some obvious extensions to loop
 130 graphs of well-known results for minimum rank of simple graphs, and summarizes
 131 additional known results for loop graphs that we will use.

132 **OBSERVATION 1.2.** *Let \mathfrak{G} be a loop graph.*

- 133 1. *If \mathfrak{G} is obtained from the simple graph G by adding some configuration of*
 134 *loops to G , then $\text{mr}(G) \leq \text{mr}(\mathfrak{G})$.*
- 135 2. *If \mathfrak{H} is an induced subgraph of \mathfrak{G} , then $\text{mr}(\mathfrak{H}) \leq \text{mr}(\mathfrak{G})$.*
- 136 3. *\mathfrak{G} has no edges if and only if $\text{mr}(\mathfrak{G}) = 0$.*
- 137 4. *If the connected components of \mathfrak{G} are $\mathfrak{G}_1, \mathfrak{G}_2, \dots, \mathfrak{G}_t$, then*

$$138 \quad \text{mr}(\mathfrak{G}) = \sum_{i=1}^t \text{mr}(\mathfrak{G}_i).$$

139 A loop graph \mathfrak{T} is a forest if \mathfrak{T} does not have any cycles, and a tree is a connected
 140 forest. Note that a forest is permitted to have loops. The technique in the next
 141 remark is known for matrices described by simple graphs (see, for example, [10]), and
 142 the same inductive reasoning applies to loop graphs.

143 **REMARK 1.3.** Suppose $A \in \mathcal{S}(\mathfrak{G})$ and \mathfrak{T} is a loopless forest that is a subgraph of
 144 \mathfrak{G} . If \mathfrak{G} has a loop at vertex v , then the v, v -entry of $B := \frac{1}{a_{vv}}A$ is one. There exists
 145 a nonsingular diagonal matrix D such that $(DBD)_{uv} = 1$ for every $uv \in E(\mathfrak{T})$ and
 146 $(DBD)_{vv} = 1$ (v is chosen as the root). Observe that for D nonsingular diagonal,
 147 $B \in \mathcal{S}(\mathfrak{G})$ implies $DBD \in \mathcal{S}(\mathfrak{G})$ and $\text{rank}(DBD) = \text{rank} A$, so when showing that a
 148 matrix in $\mathcal{S}(\mathfrak{G})$ realizing a specific rank does not exist, without loss of generality we
 149 can assume the entries associated with the edges of a loopless forest are all one, and
 150 one nonzero diagonal entry can be assumed to be one (if such exists).

151 The zero forcing number was introduced in [2] for simple graphs and extended
 152 to loop graphs in [20]. Let $\mathfrak{G} = (V, E)$ be a loop graph, with each vertex colored
 153 either white or blue. If exactly one neighbor v of u is white, then change the color
 154 of v to blue (the possibility that $u = v$ is permitted); this is the *color-change rule*
 155 for loop graphs. When the color-change rule is applied to u changing the color of v ,
 156 we say u forces v , and write $u \rightarrow v$. Given an initial coloring of \mathfrak{G} , the *final coloring*
 157 is the result of applying the color-change rule until no more changes are possible. A
 158 *zero forcing set* for \mathfrak{G} is a subset of vertices B such that if initially the vertices in B
 159 are colored blue and the remaining vertices are colored white, then all the vertices
 160 of \mathfrak{G} are blue in the final coloring. The *zero forcing number* $Z(\mathfrak{G})$ is the minimum
 161 cardinality of a zero forcing set $B \subseteq V$.

162 **THEOREM 1.4.** [20] *For every loop graph \mathfrak{G} , $M(\mathfrak{G}) \leq Z(\mathfrak{G})$. If \mathfrak{T} is a forest, then*
 163 $M(\mathfrak{T}) = Z(\mathfrak{T})$.

164 **2. Low minimum rank.** In this section we characterize loop graphs having
 165 minimum rank at most two. Minimum rank at most three was characterized for loop
 166 graphs that have no loops in [16], where it was shown that:

- 167 • $\text{mr}(\mathfrak{G}^0) = 0$ if and only if \mathfrak{G}^0 has no edges.
- 168 • $\text{mr}(\mathfrak{G}^0) \neq 1$.
- 169 • For \mathfrak{G}^0 connected, $\text{mr}(\mathfrak{G}^0) = 2$ if and only if $\mathfrak{G}^0 = \mathfrak{K}_{n_1, n_2}$ with $n_1, n_2 \geq 1$.
- 170 • For \mathfrak{G}^0 connected, $\text{mr}(\mathfrak{G}^0) = 3$ if and only if $\mathfrak{G}^0 = \mathfrak{K}_{n_1, n_2, \dots, n_t}$ with $t \geq 3$ and
 171 $n_i \geq 1$ for $i = 1, \dots, t$.

172 **OBSERVATION 2.1.** *A loop graph \mathfrak{G} has $\text{mr}(\mathfrak{G}) = 0$ if and only if $\mathfrak{G} = \overline{\mathfrak{K}_n}$, and*
 173 $\text{mr}(\mathfrak{G}) = 1$ *if and only if $\mathfrak{G} = \mathfrak{K}_s \dot{\cup} \overline{\mathfrak{K}_r}$ with $s \geq 1$ and $r \geq 0$.*

174 We extend Barrett, van der Holst, and Loewy's characterizations of simple graphs
 175 having minimum rank at most two to loop graphs, but with a different set of forbidden

176 induced subgraphs, the set $\mathcal{F}_{\text{mr}2}$ shown in Figure 2.1 (see Theorem 2.3 below).

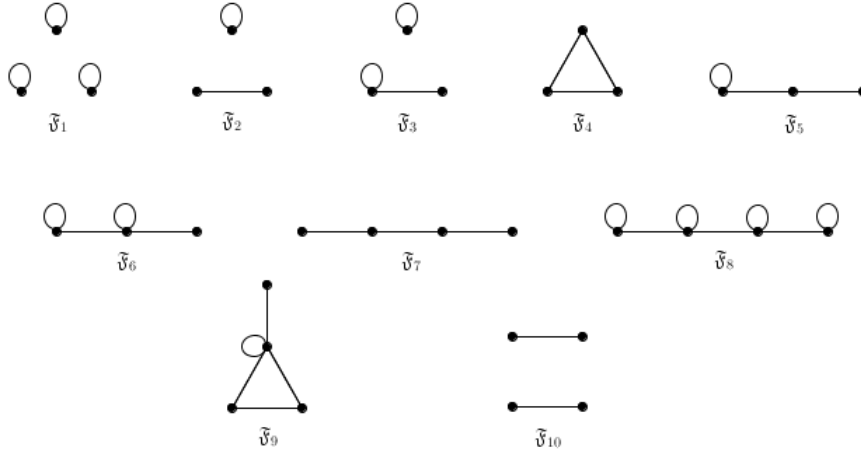


FIG. 2.1. The set $\mathcal{F}_{\text{mr}2} = \{\mathfrak{F}_1, \dots, \mathfrak{F}_{10}\}$ of forbidden induced subgraphs for minimum rank at most two.

177 Following the definitions of F -free and \mathcal{F} -free for simple graphs in [5], we say a
 178 loop graph \mathfrak{G} is \mathfrak{F} -free if \mathfrak{G} does not contain \mathfrak{F} as an induced subgraph, and for a set
 179 \mathcal{F} of loop graphs, \mathfrak{G} is \mathcal{F} -free if \mathfrak{G} is \mathfrak{F} -free for all $\mathfrak{F} \in \mathcal{F}$. The next theorem, due to
 180 Barrett, van der Holst, and Loewy, will be used:

181 THEOREM 2.2. [5, Theorem 6] Let G be a simple graph. The following are
 182 equivalent:

- 183 1. $\text{mr}(G) \leq 2$.
- 184 2. G is $\{P_4, \text{dart}, \bowtie, K_{3,3,3}, P_3 \dot{\cup} K_2, 3K_2\}$ -free.
- 185 3. $\overline{G} = (K_{s_1} \dot{\cup} K_{s_2} \dot{\cup} K_{p_1, q_1} \dot{\cup} \dots \dot{\cup} K_{p_k, q_k}) \vee K_r$ for some nonnegative $s_1, s_2, k,$
 186 p_i, q_i, r with $p_i + q_i \geq 1$ for $i = 1, \dots, k$.

187 The next theorem characterizes loop graphs having minimum rank at most two.

188 THEOREM 2.3. Let \mathfrak{G} be a loop graph. The following are equivalent:

- 189 1. $\text{mr}(\mathfrak{G}) \leq 2$.
- 190 2. \mathfrak{G} is $\mathcal{F}_{\text{mr}2}$ -free for the set $\mathcal{F}_{\text{mr}2}$ of loop graphs shown in Figure 2.1.
- 191 3. $\overline{\mathfrak{G}} = (\mathfrak{K}_{s_1} \dot{\cup} \mathfrak{K}_{s_2} \dot{\cup} \mathfrak{K}_{p_1, q_1} \dot{\cup} \dots \dot{\cup} \mathfrak{K}_{p_k, q_k}) \vee \mathfrak{K}_r$ for some nonnegative $s_1, s_2, k,$
 192 p_i, q_i, r with $p_i + q_i \geq 1$ for $i = 1, \dots, k$.
- 193 4. $\overline{\mathfrak{G}} = (\mathfrak{K}_{s_1, s_2} \vee (\mathfrak{K}_{p_1} \dot{\cup} \mathfrak{K}_{q_1})) \vee \dots \vee (\mathfrak{K}_{p_k} \dot{\cup} \mathfrak{K}_{q_k}) \dot{\cup} \overline{\mathfrak{K}_r}$ for some nonnegative
 194 s_1, s_2, k, p_i, q_i, r with $p_i + q_i \geq 1$ for $i = 1, \dots, k$.

195 *Proof.* We modify conditions (3) and (4) by removing the isolated vertices from
 196 the latter:

$$197 \quad \overline{\mathfrak{G}} = \mathfrak{K}_{s_1} \dot{\cup} \mathfrak{K}_{s_2} \dot{\cup} \mathfrak{K}_{p_1, q_1} \dot{\cup} \cdots \dot{\cup} \mathfrak{K}_{p_k, q_k} \quad (2.1)$$

198 for some nonnegative s_1, s_2, k, p_i, q_i with $p_i + q_i \geq 1$ for $i = 1, \dots, k$ and $k \geq 1$ or
 199 $s_1, s_2 \geq 1$, and

$$200 \quad \mathfrak{G} = \mathfrak{K}_{s_1, s_2} \vee (\mathfrak{K}_{p_1} \dot{\cup} \mathfrak{K}_{q_1}) \vee \cdots \vee (\mathfrak{K}_{p_k} \dot{\cup} \mathfrak{K}_{q_k}) \quad (2.2)$$

201 for some nonnegative s_1, s_2, k, p_i, q_i with $p_i + q_i \geq 1$ for $i = 1, \dots, k$ and $k \geq 1$ or
 202 $s_1, s_2 \geq 1$. We prove that conditions (1), (2), (2.1), and (2.2) are equivalent for loop
 203 graphs with positive minimum degree. The result then follows, since taking a disjoint
 204 union of \mathfrak{G} and $\overline{\mathfrak{K}_r}$ is equivalent to bordering a matrix $M \in \mathcal{S}(\mathfrak{G})$ with blocks of zeros.
 205 So henceforth we assume $\delta(\mathfrak{G}) \geq 1$.

206 (1) \Rightarrow (2) Every graph in $\mathcal{F}_{\text{mr}2}$ has minimum rank greater than two. So, if \mathfrak{G}
 207 contains some $\mathfrak{F}_i \in \mathcal{F}_{\text{mr}2}$ as an induced subgraph, then $\text{mr}(\mathfrak{G}) \geq 3$.

208 (2) \Rightarrow (2.1) Assume \mathfrak{G} is $\mathcal{F}_{\text{mr}2}$ -free. It is easy to check that any loop configuration
 209 of any of the six graphs P_4 , dart, \times , $K_{3,3,3}$, $P_3 \dot{\cup} K_2$, and $3K_2$ contains at least one
 210 induced subgraph in $\mathcal{F}_{\text{mr}2}$ (see Appendix [7] for details). Thus the associated simple
 211 graph G of \mathfrak{G} is $\{P_4, \text{dart}, \times, K_{3,3,3}, P_3 \dot{\cup} K_2, 3K_2\}$ -free, and so by Theorem 2.2,
 212 $\overline{G} = (K_{s_1} \dot{\cup} K_{s_2} \dot{\cup} K_{p_1, q_1} \dot{\cup} \cdots \dot{\cup} K_{p_k, q_k}) \vee K_r$ for some nonnegative s_1, s_2, k, p_i, q_i, r
 213 with $p_i + q_i \geq 1$ for $i = 1, \dots, k$.

214 Hence G is of the form $(K_{s_1, s_2} \vee (K_{p_1} \dot{\cup} K_{q_1}) \vee \cdots \vee (K_{p_k} \dot{\cup} K_{q_k})) \dot{\cup} \overline{K_r}$ and \mathfrak{G} is
 215 G with a certain loop configuration. We show that without loss of generality we may
 216 assume $r = 0$. Since $\delta(\mathfrak{G}) \geq 1$, every vertex in $\overline{K_r}$ must have a loop. Suppose first
 217 that the simple graph of \mathfrak{G} is $G = \overline{K_r}$. Since \mathfrak{G} is \mathfrak{F}_1 -free, $r \leq 2$, and so $\mathfrak{G} = \mathfrak{K}_1 \dot{\cup} \mathfrak{K}_1$
 218 or $\mathfrak{G} = \mathfrak{K}_1$, both of which have the required form. Now suppose that $\mathfrak{G} = \mathfrak{H} \dot{\cup} (\overline{K_r})^\ell$
 219 with $|\mathfrak{H}| \geq 1$ and $r \geq 1$. Since \mathfrak{G} is $\{\mathfrak{F}_2, \mathfrak{F}_3\}$ -free, every non-loop edge of \mathfrak{H} must have
 220 loops on both of its endpoints. Since $\delta(\mathfrak{G}) \geq 1$ and \mathfrak{G} is \mathfrak{F}_1 -free, \mathfrak{H} can have at most
 221 one connected component and $r = 1$. If $\mathfrak{H} \neq \mathfrak{K}_s$, then there would be some pair of
 222 vertices v and u such that \mathfrak{H} does not contain the edge uv , in which case \mathfrak{G} would
 223 contain \mathfrak{F}_1 . So $\mathfrak{H} = \mathfrak{K}_s$, and $\mathfrak{G} = \mathfrak{K}_s \dot{\cup} \mathfrak{K}_1$, which has the required form. Since the
 224 cases with $r \geq 1$ all have the required form, we now assume $r = 0$.

225 Thus we assume \mathfrak{G} has the form $K_{s_1, s_2} \vee (K_{p_1} \dot{\cup} K_{q_1}) \vee \cdots \vee (K_{p_k} \dot{\cup} K_{q_k})$ with
 226 some loop configuration, so the complement of \mathfrak{G} is $K_{s_1} \dot{\cup} K_{s_2} \dot{\cup} K_{p_1, q_1} \dot{\cup} \cdots \dot{\cup} K_{p_k, q_k}$
 227 with the complementary loop configuration. A loop graph is $\mathcal{F}_{\text{mr}2}$ -free if and only if
 228 its complement is $\mathcal{H}_{\text{mr}2}$ -free for the set $\mathcal{H}_{\text{mr}2}$ shown in Figure 2.2.

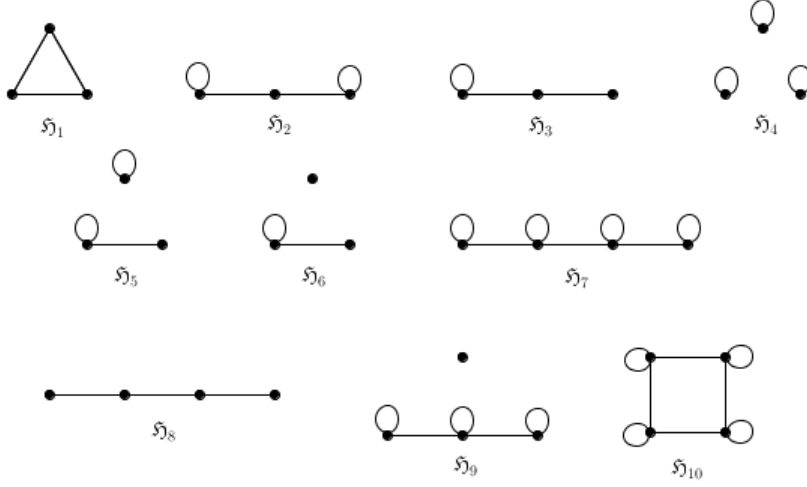


FIG. 2.2. The set $\mathcal{H}_{\text{mr}2} = \{\mathfrak{H}_1, \dots, \mathfrak{H}_{10}\}$ of complements of forbidden induced subgraphs for minimum rank ≤ 2 , where \mathfrak{H}_i is the complement of \mathfrak{F}_i in Figure 2.1.

229 Consider a matrix $M \in \mathcal{S}(\overline{\mathfrak{G}})$, which has the form

$$230 \quad M = \begin{bmatrix} A_1 & & & & \\ & A_2 & & & \\ & & B_1 & & \\ & & & \ddots & \\ & & & & B_k \end{bmatrix}$$

231 where $A_i \in \mathcal{S}(K_{s_i})$, $B_i \in \mathcal{S}(K_{p_i, q_i})$, and all other entries are zero. We now want
 232 to consider the diagonals of these block matrices of type A , representing a complete
 233 simple graph, and type B , representing a complete bipartite simple graph, given
 234 that $\overline{\mathfrak{G}}$ does not contain any of the subgraphs $\mathfrak{H}_1, \dots, \mathfrak{H}_{10}$ of Figure 2.2. With the
 235 allowed forms of block matrices, we show $M \in \mathcal{S}(\mathfrak{K}_{s_1} \dot{\cup} \mathfrak{K}_{s_2} \dot{\cup} \mathfrak{K}_{p_1, q_1} \dot{\cup} \dots \dot{\cup} \mathfrak{K}_{p_k, q_k})$ for
 236 appropriate s_i, p_i, q_i, k .

237 A matrix of type A represents a complete simple graph, so all off-diagonal entries
 238 are nonzero. If there are three loopless vertices in the complete graph, the graph
 239 contains \mathfrak{H}_1 . So the zero-nonzero pattern of a matrix of type A have one of the three
 240 following forms A_α , $\alpha \in \{a, b, c\}$:

$$241 \quad A_a = \begin{bmatrix} * & \cdots & * \\ \vdots & \ddots & \vdots \\ * & \cdots & * \end{bmatrix}, \quad A_b = \begin{bmatrix} 0 & * & \cdots & * \\ * & * & \cdots & * \\ \vdots & \vdots & \ddots & \vdots \\ * & * & \cdots & * \end{bmatrix}, \quad A_c = \begin{bmatrix} 0 & * & * & \cdots & * \\ * & 0 & * & \cdots & * \\ * & * & * & \cdots & * \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ * & * & * & \cdots & * \end{bmatrix}.$$

242 Let s_α denote the dimension of the matrix A_α for $\alpha \in \{a, b, c\}$. Notice that the
 243 graphs corresponding to A_b and A_c already contain a K_2 with one loop if $s_b \geq 2$
 244 and $s_c \geq 3$. If we take the disjoint union one of these graphs with any other graph,
 245 the union will contain \mathfrak{H}_5 or \mathfrak{H}_6 . So if A_b appears, then $M = A_b$, and similarly for
 246 A_c . Observe that if $M = A_b$ with $s_b \geq 2$ or $M = A_c$ with $s_c \geq 3$, then $\delta(\mathfrak{G}) = 0$.
 247 So, we need consider only A_a of any size, A_b with $s_b = 1$ (this matrix represents
 248 an isolated vertex without a loop), and A_c with $s_c = 2$ (this matrix represents two
 249 isolated vertices without loops).

250 A matrix of type B represents a $K_{p,q}$ with a certain number of loops. We cannot
 251 have more than two vertices with loops in either one of the partition sets, because
 252 the vertices of a partition are not connected and with at least 3 loops in one partition
 253 set, $\mathfrak{G}(B)$ would contain \mathfrak{H}_4 . Therefore B must have one of the following six forms:

254
$$B_a = \begin{bmatrix} & & * & \cdots & * \\ & & \vdots & \ddots & \vdots \\ & & * & \cdots & * \\ * & \cdots & * & & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix}, B_b = \begin{bmatrix} * & & * & \cdots & * \\ & & \vdots & \ddots & \vdots \\ & & * & \cdots & * \\ * & \cdots & * & & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix},$$

256
$$B_c = \begin{bmatrix} * & & * & \cdots & * \\ & * & & & \\ & & \vdots & \ddots & \vdots \\ * & \cdots & * & & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix}, B_d = \begin{bmatrix} * & & * & \cdots & * \\ & & \vdots & \ddots & \vdots \\ * & \cdots & * & * & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix},$$

258
$$B_e = \begin{bmatrix} * & & * & \cdots & * \\ & * & & & \\ & & \vdots & \ddots & \vdots \\ * & \cdots & * & * & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix}, B_f = \begin{bmatrix} * & & * & \cdots & * \\ & * & & & \\ & & \vdots & \ddots & \vdots \\ * & \cdots & * & * & \\ & & & * & \\ \vdots & \ddots & \vdots & & \\ * & \cdots & * & & \end{bmatrix}.$$

259 Let p_β and q_β be the number of vertices in the two partitions for $\beta \in \{a, b, c, d,$
 260 $e, f\}$. Notice that if we allow either p_β or q_β to be zero, the corresponding matrix

261 represents a union with isolated vertices (with or without loops). Since we handle
 262 this case separately, here we assume $p_\beta \geq 1$ and $q_\beta \geq 1$. If the matrices other than
 263 B_a are too big, we show that the corresponding bipartite graphs have an induced \mathfrak{H}_2
 264 or \mathfrak{H}_3 , and so are prohibited:

265 If $p_b \geq 2$, then $\mathfrak{G}(B_b)$ contains \mathfrak{H}_3 (since we assume $q_b \geq 1$). For $p_b = 1, q_b \geq 1$,
 266 $\mathfrak{G}(B_b)$ contains a K_2 with one loop. Thus, in this case we cannot have a union
 267 with other graphs because the union would contain \mathfrak{H}_5 or \mathfrak{H}_6 , so $M = B_b$. But
 268 $\mathfrak{G}(B_b) = \mathfrak{K}_1 \vee \overline{\mathfrak{K}_{q_b}}$, so $\delta(\mathfrak{G}) = 0$ and this case is excluded.

269 By construction of B_c , $p_c \geq 2$, so $\mathfrak{G}(B_c)$ contains \mathfrak{H}_2 (since we assume $q_c \geq 1$),
 270 and this case is excluded.

271 If $p_d \geq 2$ and $q_d \geq 2$, $\mathfrak{G}(B_d)$ contains \mathfrak{H}_3 , so without loss of generality $p_d = 1$. If
 272 $q_d \geq 2$ the corresponding graph contains a K_2 with one loop and can therefore not be
 273 in a union with another graph, and as for B_b this case is excluded. So B_d can only
 274 appear with $p_d = 1, q_d = 1$, and $\mathfrak{G}(B_d) = \mathfrak{K}_2$.

275 By construction of B_e , $p_e \geq 2$. For $q_e \geq 2$, $\mathfrak{G}(B_e)$ contains \mathfrak{H}_2 . So B_e can only
 276 appear with $p_e \geq 2$ and $q_e = 1$. But then $\mathfrak{G}(B_e)$ contains an induced \mathfrak{P}_3^ℓ . So if
 277 we have a union of $\mathfrak{G}(B_e)$ with another graph, the union contains \mathfrak{H}_4 or \mathfrak{H}_9 . Thus
 278 $M = B_e$ and $\mathfrak{G}(B_e) = (\mathfrak{K}_1 \dot{\cup} \overline{\mathfrak{K}_1} \dot{\cup} \overline{\mathfrak{K}_1} \dot{\cup} \cdots \dot{\cup} \overline{\mathfrak{K}_1}) \vee \mathfrak{K}_1$, so $\delta(\mathfrak{G}) = 0$ and this case is
 279 excluded.

280 Also notice that $\mathfrak{G}(B_f)$ already contains \mathfrak{H}_{10} , so B_f does not appear.

281 Thus the types of B matrices that can occur are B_a for any size, B_d for $p_d = 1$,
 282 $q_d = 1$ (so $\mathfrak{G}(B_d) = \mathfrak{K}_2$), or matrices that represent isolated vertices, with a total of
 283 at most two loops.

284 We can consider B_d with $p_d = 1, q_d = 1$, and an isolated vertex with a loop, as
 285 being type A_a . Isolated vertices without loops can be viewed as type B_a with $q_a = 0$
 286 (which we now allow). Thus all permissible forms of M can be constructed using only
 287 blocks of type A_a and B_a . If we take a block diagonal matrix that includes nonzero
 288 diagonal entries in three distinct blocks, the graph contains an induced \mathfrak{H}_4 . Therefore
 289 we can only combine blocks such that at most two of the A_a blocks appear. To
 290 summarize, we can combine up to two matrices of type A_a with a arbitrary number
 291 of matrices of type B_a . Hence, $\mathfrak{G}(M)$ has the required form (2.1).

292 (2.1) \Leftrightarrow (2.2) is immediate and (2.2) \Rightarrow (1) follows from the proof of [5, Theorem 2],
 293 because the construction of a matrix $C \in \mathcal{S}(K_{s_1, s_2} \vee (K_{p_1} \dot{\cup} K_{q_1}) \vee \cdots \vee (K_{p_k} \dot{\cup} K_{q_k}))$
 294 with $\text{rank } C \leq 2$ actually shows $C \in \mathcal{S}(\mathfrak{K}_{s_1, s_2} \vee (\mathfrak{K}_{p_1} \dot{\cup} \overline{\mathfrak{K}_{q_1}}) \vee \cdots \vee (\mathfrak{K}_{p_k} \dot{\cup} \overline{\mathfrak{K}_{q_k}}))$. \square

295 **3. High minimum rank.** In this section we extend the characterization of
 296 minimum rank equal to order for loopless loop graphs given in [16] to all loop graphs.

297 **THEOREM 3.1.** *For every loop graph \mathfrak{G} , $\text{mr}(\mathfrak{G}) = |\mathfrak{G}|$ if and only if \mathfrak{G} has a*
 298 *unique spanning generalized cycle.*

299 *Proof.* If \mathfrak{G} has a unique spanning generalized cycle, then $\det A \neq 0$ for all
 300 $A \in \mathcal{S}(\mathfrak{G})$, so $\text{mr}(\mathfrak{G}) = |\mathfrak{G}|$. Now suppose that $\text{mr}(\mathfrak{G}) = |\mathfrak{G}|$. If \mathfrak{G} is a loop graph
 301 without loops, then $\text{mr}(\mathfrak{G}) = \text{mr}_0(G)$, where G denotes \mathfrak{G} viewed as a simple graph
 302 and $\text{mr}_0(G)$ is the zero diagonal minimum rank as defined in [16], and the result is
 303 established by Theorem 3.9 of the same paper. Thus, we are left to consider the case
 304 when \mathfrak{G} contains at least one loop. Suppose there is a loop graph \mathfrak{G} with $\text{mr}(\mathfrak{G}) = |\mathfrak{G}|$
 305 that does not have a unique spanning generalized cycle. Let $\mathfrak{H}_* = (V_*, E_*)$ be a
 306 minimum counterexample in the sense that every loop graph \mathfrak{G} on fewer than $|\mathfrak{H}_*|$
 307 vertices having $\text{mr}(\mathfrak{G}) = |\mathfrak{G}|$ necessarily has a unique spanning generalized cycle, and
 308 every loop graph on $|\mathfrak{H}_*|$ vertices with fewer edges also has this property. Denote the
 309 order of \mathfrak{H}_* by n_* . Next, observe that \mathfrak{H}_* has at least two spanning generalized cycles,
 310 since at least one spanning generalized cycle is guaranteed by Remark 1.1.

311 Now let v be a vertex in \mathfrak{H}_* such that $\ell := vv \in E(\mathfrak{H}_*)$. If ℓ is contained in
 312 every spanning generalized cycle of \mathfrak{H}_* , then by deleting ℓ and v , there is a one-
 313 to-one correspondence between the spanning generalized cycles of \mathfrak{H}_* and those of
 314 $\mathfrak{H}_* - v$, so $\mathfrak{H}_* - v$ has at least two spanning generalized cycles. We obtain $A(v) \in$
 315 $\mathcal{S}(\mathfrak{H}_* - v)$ from $A \in \mathcal{S}(\mathfrak{H}_*)$ by deleting the row and the column corresponding to
 316 v . Moreover, $\det A(v) = \frac{1}{a_{vv}} \det A \neq 0$. Therefore, $\mathfrak{H}_* - v$ does not have a unique
 317 spanning generalized cycle and $\text{mr}(\mathfrak{H}_* - v) = |\mathfrak{H}_* - v|$, violating the minimality of \mathfrak{H}_* .
 318 Similarly, if no spanning generalized cycle contains ℓ , then \mathfrak{H}_* and $\mathfrak{H}_* - \ell$ have the
 319 same set of spanning generalized cycles. In this case, we obtain $\mathcal{S}(\mathfrak{H}_* - \ell)$ by setting
 320 the v, v -entry of each matrix in $\mathcal{S}(\mathfrak{H}_*)$ to zero. Since ℓ does not participate in any
 321 spanning generalized cycle, this action does not affect the determinant. Again, $\mathfrak{H}_* - \ell$
 322 is a smaller counterexample. Thus, we are left to consider the case when \mathfrak{H}_* has both
 323 a spanning generalized cycle $\mathcal{C}^{(1)}$ that contains ℓ and a spanning generalized cycle
 324 $\mathcal{C}^{(2)}$ that doesn't contain ℓ . Let $t = |E_*|$ and $Y = [y_{uw}]$ be a symmetric matrix of
 325 indeterminates x_1, x_2, \dots, x_t such that $\mathfrak{G}(Y) = \mathfrak{H}_*$ (so $uw \in E_*$ implies $y_{uw} = y_{wu} = x_i$
 326 for some x_i); without loss of generality, let $y_{vv} = x_1$. Then the determinant of Y is a
 327 homogeneous polynomial of degree n_* in x_1, x_2, \dots, x_t and we can express it as

$$328 \det Y = x_1 p(x_2, \dots, x_t) + q(x_2, \dots, x_t)$$

329 Further, since $\ell \in \mathcal{C}^{(1)}$ and $\ell \notin \mathcal{C}^{(2)}$, neither $p(x_2, \dots, x_t)$ nor $q(x_2, \dots, x_t)$ is identically
 330 zero. Hence, $p(x_2, \dots, x_t)q(x_2, \dots, x_t) \not\equiv 0$. Thus, by [16, Lemma 3.4], there exist
 331 nonzero real numbers c_2, \dots, c_t such that $p(c_2, \dots, c_t)q(c_2, \dots, c_t) \neq 0$. Now define the
 332 matrix A by replacing $y_{uw} = x_i$ with c_i for $i = 2, \dots, t$ and $y_{vv} = x_1$ with $\frac{-q(c_2, \dots, c_t)}{p(c_2, \dots, c_t)}$.
 333 Then $A \in \mathcal{S}(\mathfrak{H}_*)$ and $\det A = 0$ so $\text{mr}(\mathfrak{H}_*) \leq \text{rank } A \leq n_* - 1$, contradicting our

334 assumption that $\text{mr}(\mathfrak{H}_*) = n_*$. \square

335 **REMARK 3.2.** If \mathfrak{G} does not have a unique generalized cycle and there is a vertex
 336 u of \mathfrak{G} such that $\mathfrak{G} - u$ has a unique spanning generalized cycle, then $\text{mr}(\mathfrak{G}) = |\mathfrak{G}| - 1$.

337 **EXAMPLE 3.3.** The converse of Remark 3.2 is false because \mathfrak{P}_4^ℓ , the path on four
 338 vertices with a loop at each vertex, has $\text{mr}(\mathfrak{P}_4^\ell) = 3$ but every induced subgraph on
 339 3 vertices has minimum rank 2.

340 **4. Schur complement reduction.** In this section we use the Schur comple-
 341 ment to develop a reduction lemma that allows the removal of two vertices, reducing
 342 the order of the graph. This technique was used in [22]. The next result is well known.

343 **LEMMA 4.1.** [24, p. 217] *Suppose that $A \in \mathbb{R}^{k \times k}$ is invertible, $B \in \mathbb{R}^{(n-k) \times k}$,
 344 and $D \in \mathbb{R}^{(n-k) \times (n-k)}$. Then*

$$345 \text{rank} \begin{bmatrix} A & B^\top \\ B & D \end{bmatrix} = \text{rank} \begin{bmatrix} A & 0 \\ 0 & D - BA^{-1}B^\top \end{bmatrix} = \text{rank } A + \text{rank}(D - BA^{-1}B^\top)$$

346

347 For a loop graph \mathfrak{G} that does not have an edge between vertices u and v (this
 348 includes the case of a loop when $u = v$), $\mathfrak{G} + uv$ denotes the loop graph obtained from
 349 \mathfrak{G} by adding edge uv . Analogously, if \mathfrak{G} does have edge uv , $\mathfrak{G} - uv$ denotes the loop
 350 graph obtained from \mathfrak{G} by deleting edge uv (again the loop uu is permitted).

351 **LEMMA 4.2.** (P_4 reduction) *Suppose that in the underlying simple graph G of a
 352 loop graph \mathfrak{G} , $P = (x, y, z, w)$ is an induced path and $\deg_G y = \deg_G z = 2$. Let \mathfrak{G}'
 353 be the loop graph obtained from \mathfrak{G} by deleting vertices y and z and adding an edge
 354 between x and w .*

- 355 (1) *If neither y nor z has a loop in \mathfrak{G} , then $\text{mr}(\mathfrak{G}) = \text{mr}(\mathfrak{G}') + 2$.*
 356 (2) *If z has a loop in \mathfrak{G} but y does not, then*

$$357 \text{mr}(\mathfrak{G}) = \begin{cases} \text{mr}(\mathfrak{G}' + xx) + 2 & \text{if } x \text{ does not have a loop;} \\ \min\{\text{mr}(\mathfrak{G}'), \text{mr}(\mathfrak{G}' - xx)\} + 2 & \text{if } x \text{ has a loop.} \end{cases}$$

358 *Proof.* We can describe all cases as $\text{mr}(\mathfrak{G}) = \text{mr}(\mathfrak{H}) + 2$ where \mathfrak{H} is \mathfrak{G}' except with
 359 a loop or no loop on x as specified. We establish the equality $\text{mr}(\mathfrak{G}) = \text{mr}(\mathfrak{H}) + 2$ by
 360 showing that $\text{mr}(\mathfrak{G}) \geq \text{mr}(\mathfrak{H}) + 2$ and $\text{mr}(\mathfrak{G}) \leq \text{mr}(\mathfrak{H}) + 2$. Let $n := |\mathfrak{G}|$ and define the
 361 $2 \times (n - 2)$ matrix $B := \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \end{bmatrix}$. Order the vertices of \mathfrak{G} so that y, z, x, w
 362 are the first four vertices (in that order), choose any order for the remaining vertices,
 363 and let $\alpha := \{y, z\}$.

364 For the lower bound on $\text{mr}(\mathfrak{G})$, we choose $A = [a_{ij}] \in \mathcal{S}(\mathfrak{G})$ with $\text{rank } A =$
 365 $\text{mr}(\mathfrak{G})$ and partition A as $\begin{bmatrix} A[\alpha] & A[\alpha, \alpha] \\ A(\alpha, \alpha) & A(\alpha) \end{bmatrix}$. By Remark 1.3 applied to the forest

366 $\mathfrak{T} = (\{x, y, z, w\}, \{xy, zw\})$, we may assume that $A(\alpha, \alpha)^\top = A[\alpha, \alpha] = B$. Since y
367 is adjacent to z in \mathfrak{G} and in all cases y does not have loop in \mathfrak{G} , $A[\alpha]$ is invertible.
368 We then define $C = A(\alpha) - A(\alpha, \alpha)A[\alpha]^{-1}A[\alpha, \alpha] = A(\alpha) - (A[\alpha]^{-1} \oplus 0)$. Note that
369 $(A(\alpha))_{xw} = 0$ since x and w are not adjacent in \mathfrak{G} , so $C_{xw} = (A(\alpha))_{xw} - (A[\alpha]^{-1})_{yz} \neq$
370 0 . In each case we show that the loop configuration is such that $C \in \mathcal{S}(\mathfrak{H})$. Then
371 $\text{rank } A = \text{rank } C + 2$ by Lemma 4.1, so $\text{mr}(\mathfrak{G}) = \text{rank } A = \text{rank } C + 2 \geq \text{mr}(\mathfrak{H}) + 2$.

372 For the upper bound on $\text{mr}(\mathfrak{G})$, we choose a matrix $C = [c_{ij}] \in \mathcal{S}(\mathfrak{H})$ with
373 $\text{rank } C = \text{mr}(\mathfrak{H})$, noting that since x is adjacent to w in \mathfrak{G}' , so the entry c_{xw} is nonzero.
374 We then construct a matrix $A \in \mathcal{S}(\mathfrak{G})$ defined by $A(\alpha, \alpha)^\top = A[\alpha, \alpha] = B$ and
375 $A(\alpha) = C + A(\alpha, \alpha)A[\alpha]^{-1}A[\alpha, \alpha] = C + (A[\alpha]^{-1} \oplus 0)$. The choice of $A[\alpha]$ depends
376 on the case, but in all cases $A[\alpha]$ is invertible and $(A[\alpha])_{yz} \neq 0$; $A[\alpha]$ is chosen
377 so that $(A(\alpha))_{xw} = C_{xw} + (A[\alpha]^{-1})_{yz} = 0$. In each case we show that the loop
378 configuration is such that $A \in \mathcal{S}(\mathfrak{G})$. Then $\text{rank } A = \text{rank } C + 2$ by Lemma 4.1, so
379 $\text{mr}(\mathfrak{G}) \leq \text{rank } A = \text{rank } C + 2 = \text{mr}(\mathfrak{H}) + 2$.

380 **Case (1):** Neither y nor z has a loop in \mathfrak{G} . For the lower bound on $\text{mr}(\mathfrak{G})$, $A[\alpha]$ has
381 the form $\begin{bmatrix} 0 & a_{yz} \\ a_{yz} & 0 \end{bmatrix}$ and $A[\alpha]^{-1} = \begin{bmatrix} 0 & \frac{1}{a_{yz}} \\ \frac{1}{a_{yz}} & 0 \end{bmatrix}$, so $C \in \mathcal{S}(\mathfrak{G}')$. For the upper bound
382 on $\text{mr}(\mathfrak{G})$, define $A[\alpha] := -\begin{bmatrix} 0 & \frac{1}{c_{xw}} \\ \frac{1}{c_{xw}} & 0 \end{bmatrix}$, so $A \in \mathcal{S}(\mathfrak{G})$.

383 **Case (2):** z has a loop in \mathfrak{G} but y does not. For the lower bound on $\text{mr}(\mathfrak{G})$,
384 $A[\alpha] = \begin{bmatrix} 0 & a_{yz} \\ a_{yz} & a_{zz} \end{bmatrix}$, which is invertible with $A[\alpha]^{-1} = \frac{1}{\det A[\alpha]} \begin{bmatrix} a_{zz} & -a_{yz} \\ -a_{yz} & 0 \end{bmatrix}$. If x
385 has no loop, then the x, x -entry of C is $0 - \frac{a_{zz}}{\det A[\alpha]}$, which is nonzero; if x has a loop,
386 then the x, x -entry of C is $a_{xx} - \frac{a_{zz}}{\det A[\alpha]}$, which can be zero or nonzero. Therefore,

$$387 \quad \text{mr}(\mathfrak{G}) \geq \begin{cases} \text{mr}(\mathfrak{G}' + xx) + 2 & \text{when } x \text{ has no loop;} \\ \min\{\text{mr}(\mathfrak{G}'), \text{mr}(\mathfrak{G}' - xx)\} + 2 & \text{when } x \text{ has a loop.} \end{cases}$$

388 For the upper bound on $\text{mr}(\mathfrak{G})$, when x has no loop, let $C = [c_{ij}] \in \mathcal{S}(\mathfrak{G}' +$
389 $xx)$ be a matrix with $\text{rank } C = \text{mr}(\mathfrak{G}' + xx)$. We define $A[\alpha] := -\begin{bmatrix} c_{xx} & c_{xw} \\ c_{xw} & 0 \end{bmatrix}^{-1}$.
390 Then $A \in \mathcal{S}(\mathfrak{G})$, establishing the upper bound in this subcase. Now assume that
391 x has a loop and let $C = [c_{ij}]$ be a matrix in $\mathcal{S}(\mathfrak{G}')$ or $\mathcal{S}(\mathfrak{G}' - xx)$ with $\text{rank } C =$
392 $\min\{\text{mr}(\mathfrak{G}'), \text{mr}(\mathfrak{G}' - xx)\}$. We define $A[\alpha]$ by

$$393 \quad A[\alpha] := \begin{cases} -\begin{bmatrix} 1 & c_{xw} \\ c_{xw} & 0 \end{bmatrix}^{-1} & \text{when } c_{xx} = 0; \\ -\begin{bmatrix} 2c_{xx} & c_{xw} \\ c_{xw} & 0 \end{bmatrix}^{-1} & \text{when } c_{xx} \neq 0. \end{cases}$$

394 Then $A \in \mathcal{S}(\mathfrak{G})$, so $\text{mr}(\mathfrak{G}) \leq \min\{\text{mr}(\mathfrak{G}'), \text{mr}(\mathfrak{G}' - xx)\} + 2$. \square

395 **5. Minimum rank for families of graphs.** In this section we establish the
396 minimum rank of a loop graph consisting of a simple path P_n , cycle C_n , or com-
397 plete graph K_n with an arbitrary configuration of loops. We use the symbol \mathfrak{P}_n
398 (respectively, \mathfrak{C}_n) to denote P_n (respectively, C_n) with a given loop configuration,
399 and $\mathfrak{K}_n^{\ell(s)}$ to denote the loop graph obtained from the simple complete graph on n
400 vertices by adding a loop to each of s vertices (so $n - s$ vertices do not have loops);
401 $\mathfrak{K}_n^{\ell(n)} = \mathfrak{K}_n$. When the vertices are numbered 1 to n , we say a vertex or loop is *odd*
402 or *even* according as the number of its vertex is odd or even.

403 **5.1. Path \mathfrak{P}_n .** A path is a tree, so $M(\mathfrak{P}_n) = Z(\mathfrak{P}_n)$ [20]; thus $\text{mr}(\mathfrak{P}_n)$ can be
404 computed by using the zero forcing number. Here we give an explicit characterization.
405 Given a path, a *numbering* of the vertices is defined by starting at one end with the
406 number 1 and proceeding along the path, numbering the vertices consecutively (so
407 \mathfrak{P}_n has two numberings). Observe that for n odd, the parity of a vertex is the same
408 in both numberings, whereas for n even the two numberings reverse the roles of odd
409 and even in addition to reversing the order of the vertices.

410 PROPOSITION 5.1. *For n odd,*

$$411 \quad \text{mr}(\mathfrak{P}_n) = \begin{cases} n & \text{if } \mathfrak{P}_n \text{ has is a unique odd loop;} \\ n - 1 & \text{otherwise.} \end{cases}$$

412 *For n even,*

$$413 \quad \text{mr}(\mathfrak{P}_n) = \begin{cases} n & \text{if all odd loops of } \mathfrak{P}_n \text{ come after all even loops;} \\ n - 1 & \text{otherwise.} \end{cases}$$

414
415 *Proof.* Note that $n - 1 = \text{mr}(P_n) \leq \text{mr}(\mathfrak{P}_n)$, and by Theorem 3.1, $\text{mr}(\mathfrak{P}_n) = n$
416 if and only if \mathfrak{P}_n has a unique spanning generalized cycle. First suppose n is odd.
417 Each odd loop vv can be associated with one spanning generalized cycle consisting of
418 that loop and the edges (with endpoints) in perfect matching(s) of the component(s)
419 of $\mathfrak{P}_n - v$, so $\mathfrak{P}_n = n$ if and only if \mathfrak{P}_n has a unique odd loop. Now suppose n is
420 even. Then \mathfrak{P}_n has a spanning generalized cycle consisting of alternate edges, and
421 has additional spanning generalized cycles(s) if and only if \mathfrak{P}_n has an odd loop before
422 an even loop. \square

423 **5.2. Cycle \mathfrak{C}_n .** First note that $n - 2 = \text{mr}(C_n) \leq \text{mr}(\mathfrak{C}_n)$ (regardless of loop
424 configuration). Given a cycle, a *numbering* of the vertices is defined by selecting one
425 vertex to number 1 and proceeding around the cycle, numbering the vertices consec-
426 utively (a given cycle has many numberings). The property of having a numbering
427 with a unique odd loop is used to characterize $\text{mr}(\mathfrak{C}_n)$, but first we need a lemma.

428 LEMMA 5.2. $\text{mr}(\mathfrak{C}_n^\ell) = n - 2$.

429 *Proof.* The adjacency matrix A_{C_n} has eigenvalues $2 \cos(\frac{2\pi k}{n})$ for $k = 1, \dots, n$
 430 [11]. For $n \neq 4$, $\cos(\frac{2\pi}{n}) = \cos(\frac{2\pi(n-1)}{n}) \neq 0$, so $A_{C_n} - 2 \cos(\frac{2\pi}{n})I \in \mathcal{S}(\mathfrak{C}_n^\ell)$ and

$$431 \text{rank}(A_{C_n} - 2 \cos(\frac{2\pi}{n})I) = n - 2 \geq \text{mr}(\mathfrak{C}_n^\ell). \text{ For } n = 4, A = \begin{bmatrix} 2 & 1 & 0 & -1 \\ 1 & 1 & -1 & 0 \\ 0 & -1 & 2 & -1 \\ -1 & 0 & -1 & 1 \end{bmatrix} \in$$

432 $\mathcal{S}(\mathfrak{C}_4^\ell)$ and $\text{rank } A = 2$. \square

433 **OBSERVATION 5.3.** *If n is even, then the underlying simple graph C_n is bipartite,*
 434 *and \mathfrak{C}_n has a numbering with exactly one odd loop if and only if at least one of the*
 435 *two partite sets has exactly one loop.*

436 **THEOREM 5.4.**

$$437 \text{mr}(\mathfrak{C}_n) = \begin{cases} n & \text{if } n \text{ is odd and } \mathfrak{C}_n \text{ has no loops;} \\ n - 1 & \text{if } \mathfrak{C}_n \text{ has a numbering with exactly one odd loop;} \\ n - 2 & \text{otherwise.} \end{cases}$$

438 *If $\text{mr}(\mathfrak{C}_n) = n - 1$ then there exists a vertex v such that $\mathfrak{C}_n - v$ has a unique spanning*
 439 *generalized cycle. Furthermore, $M(\mathfrak{C}_n) = Z(\mathfrak{C}_n)$ unless n is odd and \mathfrak{C}_n has no loops.*

440 *Proof.* By Theorem 3.1, $\text{mr}(\mathfrak{C}_n) = n$ if and only if \mathfrak{C}_n has a unique spanning
 441 generalized cycle. If n is odd and \mathfrak{C}_n is loopless, then \mathfrak{C}_n has a unique spanning
 442 generalized cycle and $\text{mr}(\mathfrak{C}_n) = n$. If n is odd and \mathfrak{C}_n has at least one loop, then \mathfrak{C}_n
 443 has at least two spanning generalized cycles (the cycle itself and a loop with a perfect
 444 matching on the remaining vertices), so $\text{mr}(\mathfrak{C}_n) \leq n - 1$. If n is even, then \mathfrak{C}_n has at
 445 least three spanning generalized cycles (the cycle itself and two perfect matchings), so
 446 $\text{mr}(\mathfrak{C}_n) \leq n - 1$. If n is even and \mathfrak{C}_n has no loops, then $\text{mr}(\mathfrak{C}_n) = \text{mr}_0(C_n) = n - 2$ [16].
 447 Henceforth, we assume \mathfrak{C}_n has a loop, and thus $n - 2 = \text{mr}(C_n) \leq \text{mr}(\mathfrak{C}_n) \leq n - 1$.

448 Suppose \mathfrak{C}_n has a numbering with a unique odd loop; without loss of generality
 449 this loop is at vertex 1. We apply Proposition 5.1 to $\mathfrak{P}_{n-1} := \mathfrak{C}_n - 2$ to show that
 450 $\text{mr}(\mathfrak{C}_n - 2) = n - 1$, implying $\text{mr}(\mathfrak{C}_n) \geq n - 1$. We use the numbering of \mathfrak{P}_{n-1}
 451 determined by fixing 1 and renumbering everything else. If n is even, the vertices
 452 retain their parity under this renumbering and 1 is the only odd loop in \mathfrak{P}_{n-1} , which
 453 has odd order. If n is even then fixing 1 and renumbering the remaining vertices
 454 causes all other vertices to change parity. Since 1 is the only odd loop in \mathfrak{C}_n , there
 455 are no even loops in \mathfrak{P}_{n-1} , which has even order, so vacuously every odd loop is after
 456 every even loop.

457 Now assume that \mathfrak{C}_n has a loop and no numbering has a unique odd loop. We
 458 show $\text{mr}(\mathfrak{C}_n) = n - 2$; note that this implies $M(\mathfrak{C}_n) = Z(\mathfrak{C}_n) = 2$, because any set of
 459 two consecutive vertices is a zero forcing set. The proof that $\text{mr}(\mathfrak{C}_n) = n - 2$ is by
 460 induction on the number of vertices using P_4 reduction (Lemma 4.2). A numbering

461 on \mathfrak{C}_n naturally induces a numbering on \mathfrak{C}'_n by reducing every number greater than
 462 those assigned to y and z by two (\mathfrak{C}'_n denotes the graph produced by the reduction);
 463 this does not change the parity of any vertex or loop. Since P_4 reduction reduces the
 464 order by two, we consider $n = 3$ and $n = 4$ as the base cases. The case $n = 3$ is clear,
 465 because $\text{mr}(\mathfrak{C}_3^\ell) = 1$ and \mathfrak{C}_3^ℓ is the only loop configuration with at least one loop and no
 466 numbering having exactly one odd loop. For $n = 4$, the possible loop configurations
 467 are all loops (i.e., \mathfrak{C}_4^ℓ) or two nonadjacent loops; we denote the latter by $\mathfrak{C}_4^{(2)}$. By
 468 Lemma 5.2, $\text{mr}(\mathfrak{C}_4^\ell) = 2$. For $\mathfrak{C}_4^{(2)}$, define $A := A_{C_4} + \text{diag}(-1, 0, 1, 0) \in \mathcal{S}(\mathfrak{C}_4^{(2)})$;
 469 $\text{rank } A = 2$ so $\text{mr}(\mathfrak{C}_4^{(2)}) = 2$.

470 Now assume the theorem holds for all k with $3 \leq k \leq n - 2$ and consider \mathfrak{C}_n ,
 471 which by assumption has a loop and no numbering has a unique odd loop. If $\mathfrak{C}_n = \mathfrak{C}_n^\ell$,
 472 then $\text{mr}(\mathfrak{C}_n) = n - 2$ by Lemma 5.2. If \mathfrak{C}_n has two consecutive vertices without loops,
 473 then we apply P_4 reduction with y and z as loopless vertices; \mathfrak{C}'_n inherits the property
 474 of not having a numbering with a unique odd loop, so we can apply the induction
 475 hypothesis. So assume \mathfrak{C}_n has at least one vertex with no loop and does not have two
 476 consecutive vertices without loops (in addition to assuming \mathfrak{C}_n has at least one loop
 477 and no numbering has a unique odd loop). We consider the cases n even and n odd
 478 separately.

479 Suppose first that n is even, so C_n is bipartite; denote the partite sets by X and
 480 Y . In \mathfrak{C}_n , neither X nor Y has exactly one loop and without loss of generality Y has
 481 a loopless vertex. Select a loopless vertex $y \in Y$ and perform P_4 reduction. Define
 482 $X' := X \setminus \{z\}$ and $Y' := Y \setminus \{y\}$. Note that Y' does not have exactly one loop. If X
 483 has exactly two loops, they are on vertices x and z , so X' has no loops in $\mathfrak{C}'_n - xx$.
 484 If X has more than two loops, then X' has at least two loops in \mathfrak{C}'_n . So in one of
 485 $\mathfrak{C}'_n - xx$ or \mathfrak{C}'_n , neither X' nor Y' has exactly one loop, and we can apply induction
 486 to conclude that $\text{mr}(\mathfrak{C}'_n - xx) = n - 4$ or $\text{mr}(\mathfrak{C}'_n) = n - 4$ and thus $\text{mr}(\mathfrak{C}_n) = n - 2$.

487 Finally suppose n is odd and examine the loop configuration of \mathfrak{C}_n . We consider
 488 maximal segments of consecutive vertices all having loops, which we call *loop segments*.
 489 Recall that \mathfrak{C}_n has at least one loop and at least one vertex with no loop, does not
 490 have two consecutive vertices without loops, and no numbering has a unique odd loop.
 491 Because n is odd and $n \geq 5$, these properties imply that \mathfrak{C}_n must have at least one of
 492 the following: (i) A loop segment with at least 4 vertices. (ii) Three or more separate
 493 loop segments with at least 2 vertices each. (iii) A loop segment with 3 vertices and
 494 a separate loop segment with at least 2 vertices. Choose y to be a loopless vertex
 495 adjacent to a loop segment with the greatest number of vertices, and let x denote the
 496 neighbor of y in this loop segment. Apply P_4 reduction to obtain \mathfrak{C}'_n . In each case,
 497 \mathfrak{C}'_n has a loop segment with 4 or more vertices or has at least two loop segments with
 498 2 or more vertices. Either of these is sufficient to imply every numbering has at least
 499 two odd loops, so $\text{mr}(\mathfrak{C}'_n) = n - 4$ and thus $\text{mr}(\mathfrak{C}_n) = n - 2$.

500 To establish the last statement it suffices to assume \mathfrak{C}_n has a numbering with a
501 unique odd loop and exhibit a zero forcing set of one vertex; without loss of generality
502 the unique odd loop is at vertex 1. Then $\{2\}$ is a zero forcing set: Since 2 is blue, 3
503 has exactly one white neighbor, 4, so $3 \rightarrow 4$. We continue this process with $2k + 1 \rightarrow$
504 $2k + 2$ as the k th force, for $1 \leq k \leq \frac{n-2}{2}$. Thus all odd vertices except 1 are blue
505 after $\lfloor \frac{n-2}{2} \rfloor$ forces. Then $1 \rightarrow 1$ if n is even and $n \rightarrow 1$ if n is odd. Since there are now
506 two consecutive blue vertices, we can completely color the cycle. Thus $1 \geq Z(\mathfrak{C}_n) \geq$
507 $M(\mathfrak{C}_n) = 1$. \square

508 **5.3. Complete graph \mathfrak{K}_n with deleted loops.** The next result could be
509 proved entirely from [16, Theorem 2.4] and Proposition 6.8 below, but instead we
510 provide additional examples of optimal matrices.

511 PROPOSITION 5.5.

$$512 \quad \text{mr}(\mathfrak{K}_n^{\ell(s)}) = \begin{cases} 3 & \text{if } 3 \leq n - s; \\ 2 & \text{if } 1 \leq n - s \leq 2 \leq n; \\ 1 & \text{if } n - s = 0 \text{ and } 1 \leq n; \\ 0 & \text{if } n - s = 1 = n. \end{cases}$$

513

514 *Proof.* Let $k := n - s$ and suppose first that $k \geq 3$. Then $\mathfrak{K}_3^{\ell(0)}$ (the loopless complete
515 graph on 3 vertices) is an induced subgraph of $\mathfrak{K}_n^{\ell(s)}$, and $\text{mr}(\mathfrak{K}_3^{\ell(0)}) = \text{mr}_0(K_3) =$
516 3 by [16, Theorem 2.4]. Thus $\text{mr}(\mathfrak{K}_n^{\ell(s)}) \geq 3$. For $\mathbf{v} \in \mathbb{R}^n$, let $(\mathbf{v})_i$ denote the i th
517 coordinate of \mathbf{v} . Define the vectors $\mathbf{v}_1, \mathbf{v}_2$, and \mathbf{v}_3 in \mathbb{R}^n by

$$518 \quad (\mathbf{v}_1)_i := \begin{cases} \sin \frac{i\pi}{2(k+1)}, & \text{if } i \leq k; \\ 1 & \text{if } i > k. \end{cases}$$

519

$$520 \quad (\mathbf{v}_2)_i := \begin{cases} \cos \frac{i\pi}{2(k+1)}, & \text{if } i \leq k; \\ 1 & \text{if } i > k. \end{cases}$$

521 Also define $\mathbf{v}_3 = \mathbf{1}_n$ where $\mathbf{1}_n$ is the all ones n -vector.

522 Then we claim the matrix $A := \mathbf{v}_1 \mathbf{v}_1^\top + \mathbf{v}_2 \mathbf{v}_2^\top - \mathbf{v}_3 \mathbf{v}_3^\top$ is a matrix in $\mathcal{S}(\mathfrak{K}_n^{\ell(s)})$
523 and $\text{rank } A = 3$. Since A is the sum of three rank one matrices, $\text{rank } A$ is less than
524 or equal to 3. Therefore, it suffices to show $A \in \mathcal{S}(\mathfrak{K}_n^{\ell(s)})$. For $i, j \leq k$, $(A)_{ij} =$
525 $\sin \frac{i\pi}{2(k+1)} \sin \frac{j\pi}{2(k+1)} + \cos \frac{i\pi}{2(k+1)} \cos \frac{j\pi}{2(k+1)} - 1 = \cos \frac{(i-j)\pi}{2(k+1)} - 1$, which is zero only
526 when $i = j$. For $i > k, j \leq k$ (or $j > k, i \leq k$), $(A)_{ij} = \sin \frac{j\pi}{2(k+1)} + \cos \frac{j\pi}{2(k+1)} - 1 \neq 0$.
527 For $i > k, j > k$, $(A)_{ij} = 1 + 1 - 1 = 1$.

528 In the case $n \geq 2 \geq k \geq 1$, it is clear that $\text{mr}(\mathfrak{K}_n^{\ell(s)}) \geq 2$, and a rank 2 matrix
529 may be constructed as follows (with J_m denoting the $m \times m$ all ones matrix): For

530 $k = 1$: $\begin{bmatrix} J_{n-1} & \mathbb{1}_{n-1} \\ \mathbb{1}_{n-1}^\top & 0 \end{bmatrix}$. For $k = 2$: $\begin{bmatrix} 2J_{n-2} & \mathbb{1}_{n-2} & \mathbb{1}_{n-2} \\ \mathbb{1}_{n-2}^\top & 0 & 1 \\ \mathbb{1}_{n-2}^\top & 1 & 0 \end{bmatrix}$. In the case $k = 0, n \geq 1$,
531 J_n has rank 1, and in the case $k = 1 = n$, the matrix $[0]$ has rank 0. \square

532 6. Maximum rank and ranks in between.

533 In this section, we study the question of possible ranks for $A \in \mathcal{S}(\mathfrak{G})$. It is well
534 known that for any simple graph G , the maximum possible rank is the order of G , and
535 every rank between the minimum and maximum ranks is realized by some $A \in \mathcal{S}(G)$.
536 However, this is not true in the case of loop graphs. Given a loop graph \mathfrak{G} , we say
537 that \mathfrak{G} *allows* rank r if there is a matrix $A \in \mathcal{S}(\mathfrak{G})$ such that $\text{rank } A = r$, in which
538 case A is said to *realize* rank r for \mathfrak{G} . The *maximum rank* of a loop graph \mathfrak{G} is

$$539 \text{MR}(\mathfrak{G}) = \max\{\text{rank } A : A \in \mathcal{S}(\mathfrak{G})\}.$$

540 The proof of the next theorem is analogous to that of [16, Theorem 4.1] and is omitted.

541 **PROPOSITION 6.1.** *Let \mathfrak{G} be a loop graph and let m denote the maximum order*
542 *of a generalized cycle of \mathfrak{G} . Then $\text{MR}(\mathfrak{G}) = m$.*

543 Because the maximum order of a generalized cycle of a subgraph is less than or
544 equal to the maximum order of a generalized cycle of a graph, the next corollary is
545 immediate.

546 **COROLLARY 6.2.** *If \mathfrak{H} is a subgraph of \mathfrak{G} , then $\text{MR}(\mathfrak{H}) \leq \text{MR}(\mathfrak{G})$.*

547 If \mathfrak{B} is a (necessarily loopless) bipartite graph, then $\text{rank } B$ is even for all $B \in$
548 $\mathcal{S}(\mathfrak{B})$ [16], so it is possible for a loop graph \mathfrak{G} to allow rank k , not allow rank $k + 1$,
549 and allow $k + 2$. But it is not possible for \mathfrak{G} to allow rank k , not allow rank $k + 1$, not
550 allow rank $k + 2$, and allow $k + m$ for some $m \geq 3$, as shown in the next proposition.

551 **PROPOSITION 6.3.** *Suppose $\text{mr}(\mathfrak{G}) \leq k \leq \text{MR}(\mathfrak{G}) - 1$. Then \mathfrak{G} must allow rank k*
552 *or rank $k + 1$.*

553 *Proof.* Define $A^{(0)} = [a_{ij}^{(0)}] \in \mathcal{S}(\mathfrak{G})$ with $\text{rank } A^{(0)} = \text{mr}(\mathfrak{G})$ and $A = [a_{ij}] \in \mathcal{S}(\mathfrak{G})$
554 with $\text{rank } A = \text{MR}(\mathfrak{G})$. Let L be the list of pairs $(i, j), 1 \leq i \leq j \leq n$ ordered
555 lexicographically, and let $L[k]$ denote the k th entry of L . Note that $|L| = \ell$ where
556 $\ell := \frac{(n+1)n}{2}$. Assuming $A^{(k-1)}$ has been defined, define $A^{(k)}$ as follows: Let $L[k] =$
557 (s, t) . If $s = t$, then define $B^{(k)} = [b_{ij}^{(k)}]$ by $b_{tt}^{(k)} = a_{tt} - a_{tt}^{(k-1)}$ and $b_{ij}^{(k)} = 0$ for
558 $(i, j) \neq (t, t)$; note $\text{rank } B^{(k)} \leq 1$. If $s \neq t$, then define $B^{(k)} = [b_{ij}^{(k)}]$ by $b_{st}^{(k)} = b_{ts}^{(k)} =$
559 $a_{st} - a_{st}^{(k-1)}$ and $b_{ij}^{(k)} = 0$ for $(i, j) \neq (s, t)$ and $(i, j) \neq (t, s)$; note $\text{rank } B^{(k)} \leq 2$.
560 Finally, define $A^{(k)} := A^{(k-1)} + B^{(k)}$, so $\text{rank } A^{(k-1)} - 2 \leq \text{rank } A^{(k)} \leq \text{rank } A^{(k-1)} + 2$.
561 Observe that each entry of $A^{(k)}$ is equal to the corresponding entry of $A^{(0)}$ or A , so
562 $A^{(k)} \in \mathcal{S}(\mathfrak{G})$. Furthermore $A^{(\ell)} = A$, so we can move between $\text{mr}(\mathfrak{G})$ and $\text{MR}(\mathfrak{G})$ in

563 ℓ steps, changing the rank at each step by 0, ± 1 , or ± 2 . Thus we cannot avoid both
564 k and $k + 1$. \square

565 If \mathfrak{G} does not have a generalized cycle of order r , then \mathfrak{G} does not allow rank
566 r , because $S_r(A) = 0$ for all $A \in \mathcal{S}(\mathfrak{G})$ (see Remark 1.1). Thus it follows from
567 Proposition 6.3 that for every k between $\text{mr}(\mathfrak{G})$ and $\text{MR}(\mathfrak{G}) - 1$, \mathfrak{G} must have a
568 generalized cycle of order k or order $k + 1$. But this can be shown for all $k \leq \text{MR}(\mathfrak{G}) - 1$
569 (not just those greater than the minimum rank) by a different method.

570 **REMARK 6.4.** Let A be a real symmetric matrix. If all principal submatrices of
571 A of order k and $k + 1$ are singular, then $\text{rank } A \leq k - 1$. This was established in
572 [8] by a technical proof, and in [4] it was observed that it follows from the fact that
573 for any real symmetric matrix A , $\text{rank } A$ is the maximum k such that A has a $k \times k$
574 nonsingular matrix [15, Corollary 8.9.2], and the fact that adding a row and column
575 adds at most two to the rank.

576 **PROPOSITION 6.5.** *Suppose \mathfrak{G} has neither a generalized cycle of order k nor a*
577 *generalized cycle of order $k + 1$. Then \mathfrak{G} does not have a generalized cycle of order m*
578 *for all $m \geq k$, and $\text{MR}(\mathfrak{G}) \leq k - 1$.*

579 *Proof.* Since \mathfrak{G} has neither a generalized cycle of order k nor a generalized cycle
580 of order $k + 1$, for $A \in \mathcal{S}(\mathfrak{G})$, all principal submatrices of A of order k and $k + 1$ are
581 singular, so $\text{rank } A \leq k - 1$. Thus $\text{MR}(\mathfrak{G}) \leq k - 1$, and by Proposition 6.1, \mathfrak{G} has no
582 generalized cycle of order $m \geq k$. \square

583 Of course it is possible for the characteristic polynomial of a particular matrix to
584 have several consecutive coefficients be zero and still have a nonzero determinant, but
585 this must be caused by cancellation of terms, not by absence of generalized cycles.
586 Proposition 6.5 is not true for directed loop graphs: a loopless directed n -cycle has
587 an n -cycle and no other generalized cycles.

588 If \mathfrak{G} does not have a generalized cycle of order r , then \mathfrak{G} does not allow rank r . In
589 a bipartite loop graph (which necessarily has no loops), all generalized cycles are even.
590 The next example is a nonbipartite loop graph that has a gap in generalized cycles
591 between minimum and maximum ranks, and thus necessarily has a gap in realizable
592 ranks.

593 **EXAMPLE 6.6.** Let \mathfrak{G} and \mathfrak{G}' be the loop graphs shown in Figure 6.1. Since \mathfrak{G}
594 has a 3-cycle, \mathfrak{G} is not bipartite. We will show that $\text{mr}(\mathfrak{G}) = 9$, $\text{MR}(\mathfrak{G}) = 12$, but
595 there is no generalized cycle of order 11, and thus rank 11 is not realizable by any
596 matrix in $\mathcal{S}(\mathfrak{G})$. Note that \mathfrak{G} is loopless and \mathfrak{G}' is an induced subgraph of \mathfrak{G} . Since
597 \mathfrak{G}' has a unique spanning generalized cycle, $9 = \text{mr}(\mathfrak{G}') \leq \text{mr}(\mathfrak{G})$. On the other hand,
598 the graph \mathfrak{G} can be covered by three copies of \mathfrak{C}_4^0 and one \mathfrak{C}_3^0 , so

$$599 \quad \text{mr}(\mathfrak{G}) \leq 3 \text{mr}(\mathfrak{C}_4^0) + \text{mr}(\mathfrak{C}_3^0) = 3 \cdot 2 + 3 = 9.$$

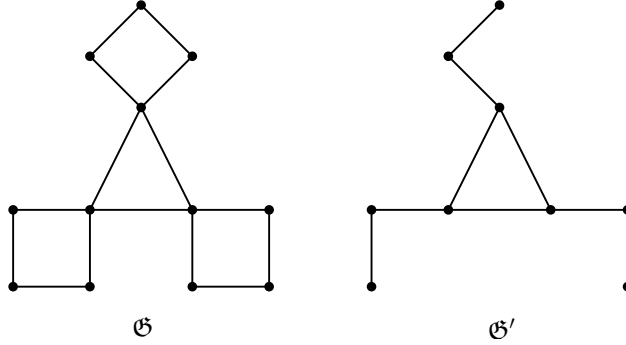


FIG. 6.1. The graph \mathfrak{G} for Example 6.6 and its induced subgraph \mathfrak{G}' .

600 As a consequence, $\text{mr}(\mathfrak{G}) = 9$. We can easily find generalized cycles of orders 9, 10,
 601 and 12 in \mathfrak{G} , implying $\text{MR}(\mathfrak{G}) = 12$. If \mathfrak{G} had a generalized cycle \mathcal{C} of order 11, then
 602 \mathcal{C} would contain an odd cycle or a loop, since 11 is odd. However, the triangle in the
 603 center is the only odd cycle. But by choosing the triangle, we see that the order of \mathcal{C}
 604 must be less than or equal to 9. Hence we cannot find a generalized cycle of order 11,
 605 and rank 11 is not realizable by any matrix in $\mathcal{S}(\mathfrak{G})$. Finally, Proposition 6.3 ensures
 606 rank 10 is realizable. In summary, the realizable ranks are 9, 10, and 12.

607 If \mathfrak{G} does not allow rank r for $\text{mr}(\mathfrak{G}) < r < \text{MR}(\mathfrak{G})$, does this imply the absence
 608 of generalized cycles of order r ? The next example provides a negative answer.

609 EXAMPLE 6.7. Let \mathfrak{H} be the loop graph $\mathfrak{P}_3 = (x, y, z)$, with a loop on y . Let \mathfrak{B}_n
 610 be the loop graph obtained from \mathfrak{H} and $\mathfrak{K}_{n,n}$ by identifying vertex z with a vertex
 611 of $\mathfrak{K}_{n,n}$. It can be seen that this graph \mathfrak{B}_n has a generalized cycle of every size
 612 ranging from 0 to $2n + 2$, its order. Now we claim that the only realizable ranks are
 613 $\{4, 6, \dots, 2n + 2\}$. That is, no odd number between 4 and $2n + 2$ can be realized.

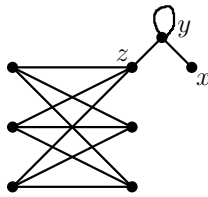


FIG. 6.2. An illustration of \mathfrak{B}_3

614 To see this, we set x and y to be the first and the second vertices and use Remark
 615 1.3 to scale the matrix. Henceforth we may assume any matrix $A \in \mathcal{S}(\mathfrak{B}_n)$ has the

616 form

$$617 \quad A = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 1 & t & 1 & 0 & \cdots & 0 \\ 0 & 1 & 0 & 0 & & \vdots \\ 0 & 0 & 0 & 0 & & \\ \vdots & \vdots & & & \ddots & \\ 0 & 0 & \cdots & & & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & & & & \\ 0 & 0 & & M & & \\ \vdots & \vdots & & & & \\ 0 & 0 & & & & \end{bmatrix},$$

618 where t is a nonzero scalar and $M \in S(\mathfrak{K}_{n,n})$. By subtracting the first row/column
619 from the third row/column, we obtain the matrix $B := \begin{bmatrix} 0 & 1 \\ 1 & t \end{bmatrix} \oplus M$, so $\text{rank } B =$
620 $\text{rank } A = \text{rank } M + 2$. Since we know $M \in S(\mathfrak{K}_{n,n})$ and the realizable ranks of M are
621 $\{2, 4, \dots, 2n\}$, the realizable ranks of \mathfrak{B}_n are

$$622 \quad \{2, 4, \dots, 2n\} + 2 = \{4, 6, \dots, 2n + 2\}.$$

623 We now consider adding a new vertex adjacent to all existing vertices. The ideas
624 in the proof are similar to those in [16, Theorem 4.6], but since we expand it to include
625 the case of a new vertex with a loop, we include the brief proof.

626 **PROPOSITION 6.8.** *Suppose \mathfrak{H} is a loop graph of order n such that $\delta(\mathfrak{H}) \geq 1$, and
627 that the graph \mathfrak{G} is constructed from \mathfrak{H} by joining a single vertex v (with or without a
628 loop) to \mathfrak{H} . Suppose \mathfrak{H} allows rank k . Then \mathfrak{G} allows rank $k + 1$, and if v has a loop
629 then \mathfrak{G} allows rank k .*

630 *Proof.* Given $A \in \mathcal{S}(\mathfrak{H})$ with $\text{rank } A = k$, we can construct a matrix \tilde{A} in $\mathcal{S}(\mathfrak{G})$
631 with $\text{rank } \tilde{A} = k + 1$ as follows, and if the new vertex v has a loop, the rank k matrix
632 B constructed is also in $\mathcal{S}(\mathfrak{G})$. Without loss of generality, let the new vertex be $n + 1$.
633 Since $\delta(\mathfrak{H}) \geq 1$, every row of A has a nonzero entry. By [16, Lemma 4.5], we can
634 choose a real vector \mathbf{x} such that every entry of $A\mathbf{x}$ is nonzero and $\mathbf{x}^\top A\mathbf{x} \neq 0$. Let
635 $B := \begin{bmatrix} A & A\mathbf{x} \\ \mathbf{x}^\top A & \mathbf{x}^\top A\mathbf{x} \end{bmatrix}$. Then $\text{rank } B = \text{rank } A = k$, and if v has a loop $B \in \mathcal{S}(\mathfrak{G})$.

636 We can change the entry $\mathbf{x}^\top A\mathbf{x}$ to either 0 or $2\mathbf{x}^\top A\mathbf{x}$ so that $\tilde{A} = \begin{bmatrix} A & A\mathbf{x} \\ \mathbf{x}^\top A & 0 \end{bmatrix}$ or

637 $\tilde{A} = \begin{bmatrix} A & A\mathbf{x} \\ \mathbf{x}^\top A & 2\mathbf{x}^\top A\mathbf{x} \end{bmatrix}$. Then $\tilde{A} \in \mathcal{S}(\mathfrak{G})$ and $\text{rank } \tilde{A} = k + 1$. \square

638 **COROLLARY 6.9.** $\mathfrak{K}_n^{\ell(s)}$ allows all ranks r such that $\text{mr}(\mathfrak{K}_n^{\ell(s)}) \leq r \leq n =$
639 $\text{MR}(\mathfrak{K}_n^{\ell(s)})$.

640 *Proof.* By Proposition 6.8, when we add a vertex with a loop, we may choose
641 to leave the rank unchanged or increase it by one. Suppose first that $n - s \geq 3$.

642 Consider the induced subgraph obtained by taking all of the loopless vertices; this
643 subgraph is $\mathfrak{K}_{n-s}^{\ell(0)}$. Since the subgraph has no loops, it must allow all ranks r such
644 that $\text{mr}(\mathfrak{K}_n^{\ell(s)}) = 3 \leq r \leq (n-s)$ by Corollary 4.7 in [16]. Then $\mathfrak{K}_n^{\ell(s)}$ can be obtained
645 by joining s looped vertices to $\mathfrak{K}_{n-s}^{\ell(0)}$ without raising the rank, so $\mathfrak{K}_n^{\ell(s)}$ allows rank r
646 for all r such that $\text{mr}(\mathfrak{K}_n^{\ell(s)}) \leq r \leq (n-s)$. For r with $(n-s) \leq r \leq n$, construct an
647 $(n-s) \times (n-s)$ matrix with full rank in $\mathcal{S}(\mathfrak{K}_{n-s}^{\ell(0)})$. By joining $r-(n-s)$ looped vertices
648 while increasing rank by one at each step, we obtain a rank r matrix in $\mathcal{S}(\mathfrak{K}_{n-s}^{\ell(r-(n-s))})$.
649 We then join $(n-r)$ additional looped vertices to obtain $\mathfrak{K}_n^{\ell(s)}$ without increasing the
650 rank. Therefore, $\mathfrak{K}_n^{\ell(s)}$ allows all ranks r such that $\text{mr}(\mathfrak{K}_n^{\ell(s)}) \leq r \leq n = \text{MR}(\mathfrak{K}_n^{\ell(s)})$.
651 The case $n-s \leq 2$ is similar. \square

652 **OBSERVATION 6.10.** *Since $n-1 \leq \text{mr}(\mathfrak{P}_n) \leq \text{MR}(\mathfrak{P}_n) \leq n$, \mathfrak{P}_n trivially allows*
653 *all ranks r such that $\text{mr}(\mathfrak{P}_n) \leq r \leq \text{MR}(\mathfrak{P}_n)$.*

654 **7. Additional topics and future research.** In this section we discuss exten-
655 sions to loop graphs of additional results for simple graphs and pose open questions
656 for future research.

657 **7.1. Extreme minimum rank.** Recall that a loop graph has minimum rank
658 equal to its order if and only if it has a unique spanning generalized cycle. It is
659 well-known that for a simple graph G , $\text{mr}(G) = |G| - 1$ if and only if G is a path.

660 **QUESTION 7.1.** *What loop graphs \mathfrak{G} have $\text{mr}(\mathfrak{G}) = |\mathfrak{G}| - 1$?*

661 Results in Section 5 characterize the loop configurations of paths and cycles with
662 minimum rank one less than order, but in general the question is open.

663 Minimum rank three has been characterized for loopless loop graphs (zero diag-
664 onal minimum rank) in [16] and it may be productive to investigate minimum rank
665 three for other loop configurations (such as all loops).

666 **QUESTION 7.2.** *What loop graphs \mathfrak{G} have $\text{mr}(\mathfrak{G}) = 3$?*

667 However it is known that for simple graphs there is an infinite family of forbidden
668 induced subgraphs for minimum rank three [18].

669 **7.2. Minimum rank of additional families and small loop graphs.** The
670 AIM Minimum Rank Catalog [1] lists the minimum rank of more than forty families
671 of graphs. Extensions of these results to loop graphs could be investigated, including
672 some very well-known graphs such as complete bipartite graphs.

673 Since the question of whether a loop graph has minimum rank equal to zero or
674 one is easily answered, by applying the forbidden subgraph test one can determine for
675 a loop graph whether minimum rank is equal to 0, 1, 2, or is ≥ 3 . For any loop graph

676 of order n the unique spanning generalized cycle test determines whether the graph
 677 has minimum rank n or $\leq n - 1$. These tests immediately determine the minimum
 678 rank of all loop graphs of order at most four. Furthermore, if the zero forcing number
 679 lower bound equals the unique spanning generalized cycle test upper bound, then the
 680 minimum rank is determined. These bounds have been implemented in the program
 681 [21], and work continues to add additional methods to this program, such as checking
 682 for graphs for which the minimum rank can be determined by other methods discussed
 683 in this paper, such as trees ($\text{mr}(\mathfrak{G}) = |\mathfrak{G}| - Z(\mathfrak{G})$) and cycles (Theorem 5.4), and
 684 applying cut-vertex reduction [23] and P_4 reduction (Lemma 4.2). This will give
 685 the software the capability to determine the minimum rank of most loop graphs of
 686 order five and possibly order six, at which point it may be feasible to complete the
 687 determination of minimum rank of loop graphs of order five (or six) by construction
 688 of matrices realizing the lower bounds, as was done for simple graphs of order at most
 689 seven in [13].

690 **7.3. No useful Colin de Verdière type parameters.** In this section we
 691 present an example that shows that a Colin de Verdière type parameter, i.e. a minor
 692 monotone lower bound on maximum nullity defined using the Strong Arnold Hypoth-
 693 esis, is unlikely to exist. Definitions of Colin de Verdière type parameters, minor
 694 monotonicity, and the Strong Arnold Hypothesis can be found in [3] or [14].

695 **EXAMPLE 7.3.** Let \mathfrak{H} be the *party hat* graph in Figure 7.1. Then $\{1\}$ is a zero
 696 forcing set for \mathfrak{H} with a forcing process $4 \rightarrow 5, 6 \rightarrow 2, 3 \rightarrow 3, 1 \rightarrow 4, 2 \rightarrow 6$. Thus
 $M(\mathfrak{H}) \leq Z(\mathfrak{H}) \leq 1$. But \mathfrak{H} contains \mathfrak{K}_3 and $M(\mathfrak{H}) = 1 < 2 = 3 - 1 = M(\mathfrak{K}_3)$.

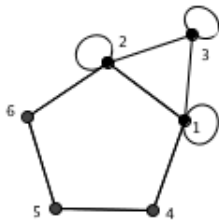


FIG. 7.1. The party hat graph \mathfrak{H} for Example 7.3.

697 Since any matrix that has all entries nonzero, including a rank one matrix, sat-
 698 isfies the Strong Arnold Hypothesis, the Strong Arnold Hypothesis does not seem to
 699 imply minor monotonicity for loop graphs. Example 7.3 also implies that any minor
 700 monotone parameter β with $\beta \leq M$ must have $\beta(\mathfrak{K}_3) \leq 1$, so any minor monotone
 701 parameter below M seems unlikely to be useful.
 702

703 **7.4. GCC is not true for loop graphs.** The Graph Complement Conjecture
 704 (GCC) for simple graphs [14] is

$$705 \quad \text{mr}(G) + \text{mr}(\overline{G}) \leq |G| + 2.$$

706 GCC is not true for loop graphs, as the next example shows.

707 **EXAMPLE 7.4.** Consider the path on 4 vertices \mathfrak{P}_4 with loops on the two middle
 708 vertices, which is shown in Figure 7.2. Observe that \mathfrak{P}_4 is self complementary and
 709 $\text{rank } \mathfrak{P}_4 = 4$ by Proposition 5.1, so

$$710 \quad \text{mr}(\mathfrak{P}_4) + \text{mr}(\overline{\mathfrak{P}_4}) = 4 + 4 = 8 > 6 = |\mathfrak{P}_4| + 2.$$

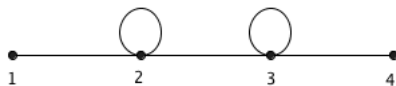


FIG. 7.2. The graph \mathfrak{P}_4 for Example 7.4.

711

712 However the question of whether there is a bound with a different additive con-
 713 stant remains open (see [9] for the analogous question for simple graphs).

714 **QUESTION 7.5.** Does there exist a positive integer d such that for all \mathfrak{G} ,

$$715 \quad \text{mr}(\mathfrak{G}) + \text{mr}(\overline{\mathfrak{G}}) \leq |\mathfrak{G}| + d?$$

716 Example 7.4 shows that if such d exists then $d \geq 4$.

717 **7.5. The δ Conjecture is not true for loop graphs.** The δ Conjecture for
 718 simple graphs [14] is $\delta(G) \leq M(G)$. The δ Conjecture is not true for loop graphs,
 719 because $\delta(\mathfrak{C}_3^0) = 2 > 0 = M(\mathfrak{C}_3^0)$. Since in the loopless case, we are reducing the
 720 minimum number of nonzero entries per row, arguably the “ δ Conjecture for loop
 721 graphs” should be $\delta(G) - 1 \leq M(G)$. However, \mathfrak{C}_3^0 (or any odd cycle with no loops)
 722 is still a counterexample, and illustrates the importance of symmetry (there is a
 723 nonsymmetric matrix with the same nonzero pattern and rank two).

724 **7.6. Minimum rank over other fields.** In this section we discuss extension
 725 of our results in prior sections to fields other than the real numbers.

726 **Low minimum rank over other fields.** Barrett, van der Holst, and Loewy’s
 727 characterization of minimum rank at most two (quoted here in Theorem 2.2) applies
 728 to all infinite fields of characteristic not two, and the proof of Theorem 2.3 remains

729 valid for infinite fields of characteristic not two, characterizing loop graphs having
730 minimum rank at most two over such fields.

731 Barrett, van der Holst, and Loewy also have characterizations for infinite fields
732 of characteristic two [5] and for finite fields [6]. These results provide tools that
733 may allow characterizing minimum rank at most two over finite fields or fields of
734 characteristic two.

735 **High minimum rank over other fields.** For minimum rank equal to order
736 of the loop graph, the situation is entirely different for fields of characteristic two,
737 because a k -cycle with $k \geq 3$ does not contribute to the determinant due to the fact
738 that a k -cycle contributes $2 \equiv 0 \pmod{2}$ equal terms. For example, if $\text{char } F = 2$,
739 then $\text{mr}^F(\mathfrak{C}_{2s+1}^0) = 2s$, despite the fact that \mathfrak{C}_{2s+1}^0 has a unique spanning generalized
740 cycle.

741 In addition to assuming characteristic not two, the proof that a loopless loop graph
742 has minimum rank equal to its order if and only if it has a unique spanning generalized
743 cycle [16, Theorem 3.9] uses the fact that we can find nonzero field elements producing
744 a nonzero value of a polynomial [16, Lemma 3.4]; this property is valid for infinite
745 fields. But the proof of [16, Theorem 3.9] also uses the quadratic formula to extract a
746 square root within the field [16, Lemma 3.5]. In the real numbers this is achieved by
747 showing that the number whose square root is being extracted can be made positive.
748 Thus the proof does not immediately extend to proper subfields of the real numbers,
749 such as the rationals. But it does extend to algebraically closed fields of characteristic
750 not two (which are necessarily infinite). The proof of our Theorem 3.1 generalizing this
751 to all loop graphs remains valid for any infinite field of characteristic not two whenever
752 the loopless base case is established. Thus Theorem 3.1 is valid for algebraically closed
753 fields of characteristic not two, in addition to the real numbers.

754 **QUESTION 7.6.** *Is there an example of loop graph \mathfrak{G} that does not have a unique*
755 *spanning generalized cycle and a (finite) field F with $\text{char } F \neq 2$ such $\text{mr}^F(\mathfrak{G}) = |\mathfrak{G}|$?*
756

757 Such an example, if one exists, is likely loopless, since if there is a loop that is in
758 one spanning generalized cycle and not in another then $\text{mr}^F(\mathfrak{G}) < |\mathfrak{G}|$ (because one
759 can solve a linear equation).

760 **Schur complement techniques over other fields.** The use of the Schur com-
761 plement in Lemma 4.1 is well known to be valid over any field. The proof of Lemma
762 4.2 (P_4 reduction) remains valid over any field with at least 3 elements; the only case
763 where 3 are needed is in the construction of $A[\alpha]$ for the upper bound in the case
764 $c_{xx} \neq 0$, where we need to avoid both 0 and $-c_{xx}$.

765 **Maximum and realizable ranks over other fields.** Much of the discussion
766 in Section 6 relies on generalized cycles, so we assume $\text{char } F \neq 2$. An infinite field

767 suffices to ensure that any polynomial that is not identically zero can be made nonzero
 768 by a choice of values. With the exception of Corollary 6.9, all the results in Section 6
 769 are valid for infinite fields of characteristic not two. Corollary 6.9 is valid for all fields
 770 of characteristic zero, because the proof of the loopless case in [16] constructs integer
 771 matrices.

772

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