

1           **THE ENHANCED PRINCIPAL RANK CHARACTERISTIC**  
2           **SEQUENCE FOR HERMITIAN MATRICES**

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5       **Abstract.** The enhanced principal rank characteristic sequence (epr-sequence) of an  $n \times n$  matrix is a sequence  $\ell_1 \ell_2 \cdots \ell_n$ , where  $\ell_k$  is A, S, or N according as all, some, or none of its principal  
6   minors of order  $k$  are nonzero. There has been substantial work on epr sequences of symmetric matrices (especially real symmetric matrices) and real skew-symmetric matrices, and incidental remarks  
7   have been made about results extending (or not extending) to (complex) Hermitian matrices. We  
8   undertake a systematic study of epr-sequences of Hermitian matrices; the differences with symmetric  
9   matrices are quite striking. Various results are established regarding the attainability by Hermitian  
10   matrices of epr-sequences that contain two Ns with a gap in between. Hermitian adjacency matrices  
11   of mixed graphs that begin NAN are characterized. All attainable epr-sequences of Hermitian matrices  
12   of orders 2, 3, 4, and 5 are listed with justifications.  
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16   sequence, mixed graph, Hermitian adjacency matrix, minor.

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18       **1. Introduction.** For a given  $n \times n$  matrix that is symmetric over a field  $F$  or  
19   complex Hermitian, and for a fixed  $k \in \{0, \dots, n\}$ , the question of determining the  
20   existence or nonexistence of a principal submatrix of rank  $k$  was addressed in Brualdi  
21   et al. [2] and Barrett et al. [1]. This information was presented in the principal rank  
22   characteristic sequence, which records with a 1 or a 0 whether or not there is a full  
23   rank principal submatrix of each order. The enhanced principal rank characteristic

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24 sequence is a refinement of this sequence and was introduced in Butler et al. [4] to  
 25 illuminate further the property of full rank principal submatrices of given dimension.

26 Throughout this paper,  $\mathbb{R}_n$  (respectively,  $\mathbb{C}_n$ ,  $\mathbb{H}_n$ ,  $\mathbb{K}_n$ ) denotes the set of  $n \times n$  real  
 27 symmetric (respectively, complex symmetric, Hermitian, skew-Hermitian) matrices  
 28 and  $\mathbb{F}_n$  denotes one of  $\mathbb{R}_n, \mathbb{C}_n, \mathbb{H}_n, \mathbb{K}_n$ .

29 DEFINITION 1.1. [2, Definition 1.1] The *principal rank characteristic sequence* of  
 30  $B \in \mathbb{F}_n$  is the sequence (pr-sequence)  $\text{pr}(B) = r_0 r_1 r_2 \cdots r_n$  where for  $k = 1, \dots, n$ ,

$$31 \quad r_k = \begin{cases} 1 & \text{if } B \text{ has a nonzero order-}k \text{ principal minor;} \\ 0 & \text{otherwise,} \end{cases}$$

32 and  $r_0 = 1$  if and only if  $B$  has a 0 diagonal entry.

33 DEFINITION 1.2. [4, Definition 1.1] The *enhanced principal rank characteristic*  
 34 *sequence* of  $B \in \mathbb{F}_n$  is the sequence (epr-sequence)  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$  where

$$35 \quad \ell_k = \begin{cases} \text{A} & \text{if all order-}k \text{ principal minors of the given order are nonzero;} \\ \text{S} & \text{if some but not all order-}k \text{ principal minors are nonzero;} \\ \text{N} & \text{if none of the order-}k \text{ principal minors is nonzero, i.e., all are zero.} \end{cases}$$

36  
 37 A (pr- or epr-) sequence is *attainable* over  $\mathbb{F}_n$  if there exists a matrix  $B \in \mathbb{F}_n$  that  
 38 realizes the sequence and is *forbidden* over  $\mathbb{F}_n$  if no such matrix exists. The set of all  
 39 epr-sequences attainable by matrices in  $\mathbb{F}_n$  is denoted by  $\text{attain}(\mathbb{F}_n)$ .

40 The principal rank characteristic sequence was introduced in [2] where the focus  
 41 was on pr-sequences of real symmetric matrices and with a simplification of the prin-  
 42 cipal minor assignment problem [7] as a motivation. The study was continued in [1]  
 43 where results over  $\mathbb{R}_n$  were extended and where the problem was investigated over  
 44 various fields. The enhanced principal rank characteristic sequence was introduced  
 45 in [4] where results over symmetric matrices, including constructions of attainable  
 46 epr-sequences and forbidden epr-(sub)sequences over various fields, were presented.  
 47 In [5], Fallat et al. considered the problem over skew-symmetric matrices and gave a  
 48 complete characterization of the attainable epr-sequences for real skew-symmetric ma-  
 49 trices. Further results on attainable pr- and epr- sequences, including classifications  
 50 of some families of attainable sequences, were given by Martínez-Rivera in [9].

51 In this paper, we focus our study on the epr-sequences of Hermitian matrices.  
 52 In Section 2, we identify certain subsequences forbidden over  $\mathbb{H}_n$ . In Section 3, we  
 53 establish results regarding sequences in  $\text{attain}(\mathbb{H}_n)$  that contain two Ns with a gap in  
 54 between, and in particular those that have the subsequence **NAN**. Section 4 discusses  
 55 epr-sequences attainable by Hermitian adjacency matrices. Probabilistic techniques  
 56 are used in Section 5 to construct Hermitian matrices attaining a family of epr-  
 57 sequences. In Section 6 we identify all epr-sequences attainable over  $\mathbb{H}_n$  but not

58 over  $\mathbb{R}_n$  for  $n \leq 5$ . Finally in Section 7 we discuss relationships between sets of  
 59 epr-sequences attained by the various classes of matrices that we consider.

60 For  $B \in \mathbb{F}_n$ ,  $\alpha, \beta \subseteq [n] := \{1, 2, \dots, n\}$ , the submatrix of  $B$  lying in rows indexed  
 61 by  $\alpha$  and columns indexed by  $\beta$  is denoted by  $B[\alpha, \beta]$ . Further, the complementary  
 62 submatrix obtained from  $B$  by deleting the rows indexed by  $\alpha$  and columns indexed by  
 63  $\beta$  is denoted by  $B(\alpha, \beta)$ . If  $\alpha = \beta$ , then the principal submatrix  $B[\alpha, \alpha]$  is abbreviated  
 64 to  $B[\alpha]$ , while the complementary principal submatrix is denoted by  $B(\alpha)$ . The all-  
 65 ones vector of size  $n$  is denoted by  $\mathbb{1}_n$ .

66 Following the notation in [1], we let  $\overline{\ell_i \cdots \ell_j}$  indicate that the (complete) sequence  
 67 may be repeated as many times as desired (or may be omitted entirely).

68 **1.1. Results used.** The purpose of this section is to list results from the litera-  
 69 ture that we cite frequently and/or simple extensions to Hermitian matrices of results  
 70 for real symmetric matrices. In many cases we give the results names. Note that  
 71 some of the results cited are true more generally, e.g. for symmetric matrices over  
 72 other fields, but here we specialize to the complex Hermitian case.

73 **OBSERVATION 1.3.** [4, Observation 2.2] An epr-sequence of a complex Hermitian  
 74 matrix  $B$  must end in  $\mathbf{N}$  or  $\mathbf{A}$ .

75 **THEOREM 1.4.** [4, Theorem 2.3] (NN Theorem) *Suppose  $B \in \mathbb{H}_n$ ,  $\text{epr}(B) =$   
 76  $\ell_1 \cdots \ell_n$ , and  $\ell_k = \ell_{k+1} = \mathbf{N}$  for some  $k$ . Then  $\ell_i = \mathbf{N}$  for all  $i \geq k$ .*

77 **THEOREM 1.5.** [4, Theorem 2.4] (Inverse Theorem) *If  $B \in \mathbb{H}_n$  and  $\text{epr}(B) =$   
 78  $\ell_1 \ell_2 \cdots \ell_{n-1} \mathbf{A}$ , then  $\text{epr}(B^{-1}) = \ell_{n-1} \ell_{n-2} \cdots \ell_1 \mathbf{A}$ .*

79 **PROPOSITION 1.6.** [4, Proposition 2.5] *The epr-sequence  $\mathbf{S} \mathbf{N} \cdots \mathbf{A} \cdots$  is forbidden  
 80 for Hermitian matrices.*

81 **COROLLARY 1.7.** [4, Corollary 2.7] (NSA Theorem) *No Hermitian matrix can  
 82 have NSA in its epr-sequence. Further, no Hermitian matrix can have the epr-sequence  
 83  $\cdots \mathbf{A} \mathbf{S} \mathbf{N} \cdots \mathbf{A} \cdots$ .*

84 **THEOREM 1.8.** [4, Theorem 2.6] (Inheritance Theorem) *Suppose that  $B \in \mathbb{H}_n$ ,  
 85  $m \leq n$ , and  $1 \leq i \leq m$ .*

- 86 1. *If  $[\text{epr}(B)]_i = \mathbf{N}$ , then  $[\text{epr}(C)]_i = \mathbf{N}$  for all  $m \times m$  principal submatrices  $C$ .*
- 87 2. *If  $[\text{epr}(B)]_i = \mathbf{A}$ , then  $[\text{epr}(C)]_i = \mathbf{A}$  for all  $m \times m$  principal submatrices  $C$ .*
- 88 3. *If  $[\text{epr}(B)]_m = \mathbf{S}$ , then there exist  $m \times m$  principal submatrices  $C_A$  and  $C_N$   
 89 of  $B$  such that  $[\text{epr}(C_A)]_m = \mathbf{A}$  and  $[\text{epr}(C_N)]_m = \mathbf{N}$ .*
- 90 4. *If  $i < m$  and  $[\text{epr}(B)]_i = \mathbf{S}$ , then there exists an  $m \times m$  principal submatrix  
 91  $C_S$  such that  $[\text{epr}(C_S)]_i = \mathbf{S}$ .*

92 THEOREM 1.9. (Real Skew Theorem) [5, Theorem 3.3] *An epr-sequence  $\ell_1\ell_2\cdots\ell_n$*   
 93 *is attainable by a real skew-symmetric matrix if and only if the following conditions*  
 94 *hold.*

- 95 1.  $\ell_k = \mathbf{N}$  for  $k$  odd;
- 96 2. If  $\ell_k = \ell_{k+1} = \mathbf{N}$ , then  $\ell_j = \mathbf{N}$  for all  $j \geq k$ ;
- 97 3.  $\ell_n \neq \mathbf{S}$ .

98 The next result is stated in [4] for symmetric matrices over a field of characteristic  
 99 not two, but the proof remains valid for Hermitian matrices.

100 THEOREM 1.10. [4, Proposition 2.13] (Schur Complement Theorem) *Suppose*  
 101  *$B \in \mathbb{H}_n$  with  $\text{rank } B = m$ . Let  $B[\alpha]$  be a nonsingular principal submatrix of  $B$  with*  
 102  *$|\alpha| = k \leq m$  and let  $C = B/B[\alpha]$  be the Schur complement of  $B[\alpha]$  in  $B$ . Then the*  
 103 *following results hold.*

- 104 1.  $C \in \mathbb{H}_{n-k}$ .
- 105 2. Assuming the indexing of  $C$  is inherited from  $B$ , any principal minor of  $C$  is  
 106 given by

$$107 \det C[\gamma] = \det B[\gamma \cup \alpha] / \det B[\alpha].$$

- 108 3.  $\text{rank } C = m - k$ .
- 109 4. Any nonsingular principal submatrix of  $B$  of order at most  $m$  is contained in  
 110 a nonsingular principal submatrix of order  $m$ .

111 We state an immediate corollary of Theorem 1.10 in a form we will use.

112 COROLLARY 1.11. *Suppose  $B \in \mathbb{H}_n$ ,  $\text{epr}(B) = \ell_1 \cdots \ell_n$ , and let  $B[\alpha]$  be a non-*  
 113 *singular principal submatrix of  $B$  with  $|\alpha| = k \leq \text{rank } B$ . Let  $C = B/B[\alpha]$  be the*  
 114 *Schur complement of  $B[\alpha]$  in  $B$  and let  $\text{epr}(C) = \ell'_1 \cdots \ell'_{n-k}$ . Then  $\ell'_j = \ell_{j+k}$  for*  
 115  *$\ell_j \in \{\mathbf{A}, \mathbf{N}\}$  and  $j = 1, \dots, n - k$ .*

116 It was established in [4, Theorem 5.1] that, for real symmetric matrices, any  
 117 attainable epr-sequence starting  $\mathbf{AN}\cdots$  is attainable by a real symmetric matrix with  
 118 every entry equal to 1 or  $-1$ . In Section 6, we demonstrate that the epr-sequence  
 119  $\mathbf{ANAAN}$  is attainable by a Hermitian matrix; however, this sequence is not attainable by  
 120 a real symmetric matrix (see [4, Table 1]), revealing that the result of [4, Theorem 5.1]  
 121 cannot be extended to Hermitian matrices. There is, however, a natural extension,  
 122 which we now present.

123 PROPOSITION 1.12. *Over  $\mathbb{H}_n$ , any attainable epr-sequence starting  $\mathbf{AN}\cdots$  is at-*  
 124 *tainable by a Hermitian matrix with each entry having modulus 1 and all entries in*  
 125 *the first row, first column and diagonal equal to 1.*

126 *Proof.* Let  $B = [b_{jk}]$  be a Hermitian matrix with  $\text{epr}(B) = \ell_1\ell_2\cdots\ell_n$ . Suppose

127  $\ell_1 \ell_2 = \text{AN}$ . Observe that each entry of  $B$  is nonzero. The matrix  $B$  and the matrix  
128  $\frac{1}{b_{11}}B$  have the same epr-sequence. Hence, we may assume that  $b_{11} = 1$ . Let  $D =$   
129  $\text{diag}(1, \frac{1}{b_{12}}, \dots, \frac{1}{b_{1n}})$ . Then  $D^*BD$  is a Hermitian matrix with the same epr-sequence  
130 as  $B$  with all entries in the first row (and hence first column) equal to 1. Since each  
131 principal submatrix of  $D^*BD$  of order 2 including the (1,1)-entry is singular, each  
132 diagonal entry of  $D^*BD$  is 1. Since each principal submatrix of  $D^*BD$  of order 2 is  
133 singular, and because each diagonal entry is 1, each entry of  $D^*BD$  has modulus 1.  $\square$

134 **2. Forbidden (sub)sequences.** In this section we establish that epr-sequences  
135 of matrices in  $\mathbb{H}_n$  cannot include certain subsequences, or cannot include them in  
136 certain positions.

137 **PROPOSITION 2.1.** *No Hermitian matrix has an epr-sequence starting ANAN...  
138 or ANAS....*

139 *Proof.* Suppose to the contrary that there exists a Hermitian matrix  $B$  with epr-  
140 sequence starting ANAN... or ANAS.... By the Inheritance Theorem there exists a  
141  $4 \times 4$  principal submatrix  $C$  of  $B$  with epr-sequence ANAN; by Proposition 1.12, we  
142 may assume that

$$143 \quad C = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & a & \bar{b} \\ 1 & \bar{a} & 1 & c \\ 1 & b & \bar{c} & 1 \end{bmatrix},$$

144 where  $a, b$  and  $c$  have modulus 1. Subtracting the first row of  $C$  from rows 2, 3 and  
145 4, we see that

$$146 \quad \det C = \det \begin{bmatrix} 0 & a-1 & \bar{b}-1 \\ \bar{a}-1 & 0 & c-1 \\ b-1 & \bar{c}-1 & 0 \end{bmatrix}$$

$$147 \quad = (a-1)(b-1)(c-1) + (\bar{a}-1)(\bar{b}-1)(\bar{c}-1)$$

$$148 \quad = (a-1)(b-1)(c-1) + \frac{1}{a}(1-a)\frac{1}{b}(1-b)\frac{1}{c}(1-c)$$

$$149 \quad = (a-1)(b-1)(c-1)\left(1 - \frac{1}{abc}\right).$$

150 with the third equality coming from the fact that each of  $a, b$  and  $c$  has modulus 1.  
151 Since  $C$  is singular, we conclude that either  $a = 1, b = 1, c = 1$  or  $abc = 1$ . This  
152 contradicts the fact that  $0 \neq \det C(\{4\}) = a + \bar{a} - 2, 0 \neq \det C(\{3\}) = b + \bar{b} - 2,$   
153  $0 \neq \det C(\{2\}) = c + \bar{c} - 2,$  and  $0 \neq \det C(\{1\}) = abc + \bar{a}\bar{b}\bar{c} - 2.$   $\square$

154 **COROLLARY 2.2.** *If the epr-sequence XYNAN occurs as a subsequence of the epr-  
155 sequence of a Hermitian matrix, then  $X = N$  and  $Y \neq N$ . In particular, the subsequences  
156 AYAN and SYAN are forbidden for  $Y \in \{A, S, N\}$ .*

157 *Proof.* Suppose  $B \in \mathbb{H}_n$  has an epr-sequence containing XYAN. By the NN The-  
158 orem,  $Y \neq N$ . To obtain a contradiction, suppose  $X \in \{A, S\}$  and  $X$  occurs in position  
159  $k$ . Let  $B[\alpha]$  be a  $k \times k$  nonsingular principal submatrix of  $B$ . By Corollary 1.11,  
160  $B/B[\alpha]$  has epr-sequence ZNAN $\cdots$ , where  $Z \in \{A, S, N\}$ . By the NN Theorem,  $Z \neq N$ .  
161 By Proposition 2.1,  $Z \neq A$ . By Proposition 1.6, SN $\cdots$ A $\cdots$  is prohibited, so  $Z \neq S$ , and  
162 we have a contradiction.  $\square$

163 According to [4, Corollary 2.10], the epr-sequence SANA is prohibited in the epr-  
164 sequence of a symmetric matrix over a field of characteristic not 2. For Hermitian  
165 matrices, however, we demonstrate in Section 6 that ASANA is attainable, revealing  
166 that SANA is not prohibited in an attainable sequence. However, there is a necessary  
167 condition given in the next result.

168 **PROPOSITION 2.3.** *In the epr-sequence of a Hermitian matrix, the epr-sequence*  
169 *SANA can occur only as the terminal subsequence.*

170 *Proof.* Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_k \cdots \ell_{k+3} = \text{SANA}$ . For  
171 the sake of contradiction, suppose  $n > k + 3$ . By Corollary 2.2, SANAN is prohibited,  
172 implying that  $\ell_{k+4} \neq N$ . Now, suppose that  $\ell_{k+4} = A$ . By the Inheritance Theorem  
173 and the Inverse Theorem,  $B$  has a  $(k + 4) \times (k + 4)$  principal submatrix whose inverse  
174 has epr-sequence ANAS $\cdots$ A, a contradiction to Proposition 2.1. Hence, SANAA cannot  
175 occur in the epr-sequence of a Hermitian matrix.

176 Finally, suppose  $\ell_{k+4} = S$ . By the Inheritance Theorem,  $B$  has a  $(k + 4) \times (k + 4)$   
177 principal submatrix with epr-sequence  $\cdots \text{SANAX}$ , where  $X$  is A or N, contradicting the  
178 assertions above.  $\square$

179 The next result also restricts the location of a subsequence in attainable epr-  
180 sequences.

181 **PROPOSITION 2.4.** *No Hermitian matrix can have an epr-sequence starting*  
182 *NSSNA $\cdots$ .*

183 *Proof.* Let  $B \in \mathbb{H}_n$  have epr-sequence NSSNA $\cdots$ . By the Inheritance Theorem,  $B$   
184 has an appropriate principal submatrix  $C$  with  $\text{epr}(C) = \text{NSXNA}$ , where  $X \in \{A, S, N\}$ .  
185 By the NN Theorem, and because NSA is prohibited,  $X = S$ , so that  $\text{epr}(C) = \text{NSSNA}$ .  
186 By the Inverse Theorem,  $\text{epr}(C^{-1}) = \text{NSSNA}$ . Since  $C$  has a zero minor of order 2, we  
187 assume, without loss of generality, that  $C[\{1, 2\}]$  is singular; as each diagonal entry  
188 of  $C$  is zero,  $C[\{1, 2\}] = O_{2 \times 2}$ . From this and the fact that  $CC^{-1} = I_5$ ,

$$189 \quad O_{2 \times 3} = (CC^{-1})[\{1, 2\}, \{3, 4, 5\}] = C[\{1, 2\}, \{3, 4, 5\}]C^{-1}[\{3, 4, 5\}].$$

190 As  $C$  is nonsingular,  $C[\{1, 2\}, \{3, 4, 5\}]$  has full rank, i.e., it has rank 2; thus, the null  
191 space of  $C[\{1, 2\}, \{3, 4, 5\}]$  has dimension 1. Since the column space of  $C^{-1}[\{3, 4, 5\}]$

192 is contained in the null space of  $C[\{1, 2\}, \{3, 4, 5\}]$ ,  $C^{-1}[\{3, 4, 5\}]$  has at most one  
 193 linearly independent column; then, as every diagonal entry of  $C^{-1}[\{3, 4, 5\}]$  is zero,  
 194 the fact that  $C^{-1}[\{3, 4, 5\}]$  is Hermitian implies that  $C^{-1}[\{3, 4, 5\}] = O_{3 \times 3}$ . It follows  
 195 that  $C^{-1}$  is singular, a contradiction.  $\square$

196 **3. Gaps between two Ns.** Consider the following problem raised in [2, Question  
 197 6.6]: Fix some  $s \geq 1$ . Is it the case that for any  $n \times n$  real symmetric matrix  $B$  with  
 198  $\text{pr}(B) = r_0]r_1 \cdots r_n$ , if  $r_k = r_{k+s} = 0$ , then  $r_i = 0$  for all  $i$  with  $k + s \leq i \leq n$ ? As  
 199 noted in [2], the 00 theorem (the pr-sequence form of the NN Theorem) implies the  
 200 answer to the question is yes when  $s = 1$ . It was also shown there that the answer is  
 201 yes for  $s = 3$  but is no for  $s$  even and  $s = 5$  in [2, Theorem 6.5, Lemmas 3.3, 3.6, and  
 202 Example 6.7]. The positive answer for  $s = 3$  is used in [9] to determine all attainable  
 203 pr-sequences that have a 0 in each subsequence of length 3 and all attainable epr-  
 204 sequences that have an N in each subsequence of length 3. We translate the question  
 205 to the language of epr-sequences.

206 **QUESTION 3.1.** Let  $s \geq 1$  be a fixed integer and let  $B$  be a Hermitian matrix.  
 207 Does  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$  with  $\ell_k = \ell_{k+s} = \text{N}$  imply that  $\ell_q = \text{N}$  for all  $q \geq k + s$ ?

208 Because of the NN Theorem, we know the answer is affirmative when  $s = 1$ .  
 209 Section 3.1 answers this question negatively for  $s \geq 2$  and Hermitian matrices, which  
 210 behave quite differently from real symmetric matrices. Section 3.2 discusses in more  
 211 detail the form of sequences containing a NAN subsequence (which has  $\ell_k = \ell_{k+2} = \text{N}$ ).

212 **3.1. Answer to Question 3.1.** Before answering Question 3.1 negatively for  
 213 all  $s \geq 2$  in Theorem 3.3 below, we need the following lemma.

214 **LEMMA 3.2.** For  $t \neq 0$ , let  $T_n$  be the  $n \times n$  matrix with 0s on the main diagonal,  
 215  $t$  in every entry above the main diagonal, and  $(1/t)$  in every entry below the main  
 216 diagonal. Then, for  $n \geq 1$ ,

$$217 \quad \det T_n = \frac{(-1)^{n+1}}{t^{n-2}} \sum_{j=0}^{n-2} t^{2j}.$$

218 Thus  $\det T_n = 0$  if and only if  $\sum_{j=0}^{n-2} t^{2j} = 0$

219 *Proof.* We proceed by induction. For the case  $n = 1$ , we have  $\det T_1 = 0$ , while  
 220 the right-hand side is an empty sum (which by convention is 0). For the case  $n = 2$ ,  
 221 we have

$$222 \quad \det \begin{bmatrix} 0 & t \\ 1/t & 0 \end{bmatrix} = -1 = \frac{(-1)^3}{t^0} \sum_{j=0}^0 t^{2j}.$$

223 Now assume the result holds up through some value of  $k$ , and consider what happens  
 224 for the case  $k + 1$ . We have the following:

$$\begin{aligned}
 \det(T_{k+1}) &= \det \begin{bmatrix} 0 & t & t & \cdots & t & t \\ 1/t & 0 & t & \cdots & t & t \\ 1/t & 1/t & 0 & \cdots & t & t \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 1/t & 1/t & 1/t & \cdots & 0 & t \\ 1/t & 1/t & 1/t & \cdots & 1/t & 0 \end{bmatrix} \\
 &= \det \begin{bmatrix} 0 & t & t & \cdots & t & t \\ 1/t & -t & 0 & \cdots & 0 & 0 \\ 0 & 1/t & -t & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & -t & 0 \\ 0 & 0 & 0 & \cdots & 1/t & -t \end{bmatrix} = (-1)^{k+2} t (1/t)^k - t \det(T_k).
 \end{aligned}$$

227 The second equality is obtained by starting with the last row and subtracting the  
 228 previous row, and then repeating this process going up a row at a time. The third  
 229 equality is obtained by expanding the determinant along the last column.

230 We can now conclude

$$\begin{aligned}
 (-1)^{k+2} t^{k-1} \det T_{k+1} &= (-1)^{k+2} t^{k-1} ((-1)^{k+2} / t^{k-1} - t \det T_k) \\
 &= 1 + (-1)^{k+1} t^k \det T_k \\
 &= 1 + t^2 \sum_{j=0}^{k-2} t^{2j} = \sum_{j=0}^{k-1} t^{2j}.
 \end{aligned}$$

234 This establishes the formula for the determinant of  $T_{k+1}$ .  $\square$

235 **THEOREM 3.3.** *Let  $s \geq 2$  and  $1 \leq k \leq s - 1$ . Then the epr-sequence of order*  
 236  *$n$  having  $\ell_i = \mathbf{N}$  for  $i \equiv k \pmod{s}$  and  $\mathbf{A}$ s in all other positions, is attainable by a*  
 237 *Hermitian matrix.*

238 *Proof.* It will suffice to establish this for  $k = 1$ . To see this, suppose  $2 \leq k \leq s - 1$ ,  
 239 choose  $n'$  with  $n' > n$  and  $n' \equiv k + 1 \pmod{s}$ , and consider the matrix  $B$  realizing  
 240 the epr-sequence of order  $n'$  where there are  $\mathbf{N}$ s in positions  $\equiv 1 \pmod{s}$  and  $\mathbf{A}$ s in all  
 241 other positions. By assumption, the last letter will be  $\mathbf{A}$  (since  $n' \not\equiv 1 \pmod{s}$ ). Thus  
 242 the matrix  $B$  is invertible, and the epr-sequence of  $B^{-1}$  will have  $\mathbf{N}$ s in positions  $\equiv k$   
 243  $\pmod{s}$  and  $\mathbf{A}$ s in all other locations by the Inverse Theorem. Finally, any principal  
 244 submatrix of  $B^{-1}$  of order  $n$  gives the desired realization by the Inheritance Theorem.

245 For the case  $k = 1$ , we claim that the matrix  $T_n$  with  $t = e^{\pi i/s}$  from Lemma 3.2 is  
 246 a realization. In particular, since a principal submatrix of order  $m$  for such a matrix



247 is  $T_m$ , with  $t = e^{\pi i/s}$ , then it will suffice to show that  $T_m$  has zero determinant if and  
 248 only if  $m \equiv 1 \pmod{s}$ . By Lemma 3.2, we have

$$249 \quad \det T_m = 0 \Leftrightarrow \sum_{j=0}^{m-2} (e^{2\pi i/s})^j = 0.$$

250 Finally, we note that sum is found by repeatedly adding  $s$ -th roots of unity. The sum  
 251 of all  $s$  of the  $s$ -th roots of unity is 0 and the sum of any  $q$  consecutive  $s$ th roots of  
 252 unity is nonzero for  $q < s$ , so the sum is nonzero if and only if the number of terms in  
 253 the sum (i.e.,  $m - 1$ ) is not a multiple of  $s$ . That is,  $\det T_m \neq 0$  if and only if  $m \not\equiv 1$   
 254  $\pmod{s}$ .  $\square$

255 This naturally raises the question of what happens when we want the Ns to occur  
 256 in the positions  $\equiv s \pmod{s}$  and all other values to be A. This leads to the following  
 257 question, which has an affirmative answer when  $s = 2$  (see Proposition 2.1).

258 **QUESTION 3.4.** For  $s \geq 2$ , is the sequence of order  $2s$  with Ns in positions  $s$  and  
 259  $2s$ , and with As in all other positions, unattainable by a Hermitian matrix?

260 **3.2. NAN and real skew-like sequences.** The next remark relates the epr-  
 261 sequences of Hermitian matrices and skew-Hermitian matrices.

262 **REMARK 3.5.** If  $K$  is a skew-Hermitian matrix, then  $iK$  is Hermitian, and if  $H$   
 263 is a Hermitian matrix then  $iH$  is skew-Hermitian. Thus  $\text{attain}(\mathbb{H}_n) = \text{attain}(\mathbb{K}_n)$ , so  
 264 by Theorem 1.9 every epr-sequence  $\ell_1 \cdots \ell_n$  that has  $\ell_k = \mathbb{N}$  for every odd  $k$ , obeys  
 265 the NN Theorem, and has  $\ell_n \neq \mathbb{S}$  is attained by a Hermitian matrix.

266 Motivated by Theorem 1.9, we make the following definition.

267 **DEFINITION 3.6.** The sequence  $\ell_1 \ell_2 \cdots \ell_n$  is *real skew-like* if  
 268  $\ell_1 \ell_2 \cdots \ell_n \in \text{attain}(\mathbb{H}_n)$  and  $\ell_j = \mathbb{N}$  for every odd  $j$  with  $1 \leq j \leq n$ . For an odd integer  
 269  $p$ , a subsequence  $\ell_p \cdots \ell_q$  of an attainable epr-sequence  $\ell_1 \ell_2 \cdots \ell_n$  is *real skew-like* if  
 270  $\ell_j = \mathbb{N}$  for every odd  $j$  with  $p \leq j \leq q$ .

271 Observe that the epr-sequence of a real skew-symmetric matrix is real skew-like  
 272 (hence the name).

273 **PROPOSITION 3.7.** Over  $\mathbb{H}_n$ , any attainable epr-sequence starting  $\text{NAN} \cdots$  is real  
 274 *skew-like*.

275 *Proof.* Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_1 \ell_2 \ell_3 = \text{NAN}$ . Since  $B$   
 276 has zero diagonal, the condition  $\ell_2 = \mathbb{A}$  implies that every off-diagonal entry of  $B$  is  
 277 nonzero. Let  $D = \text{diag}(1, \frac{1}{b_{12}}, \dots, \frac{1}{b_{1n}})$ ,  $B' = D^* B D$  and  $B' = [b'_{kj}]$ . Now, observe

278 that

$$279 \quad B' = \begin{bmatrix} 0 & \mathbf{1}^T \\ \mathbf{1} & B'(\{1\}) \end{bmatrix}.$$

280 Since  $\text{epr}(B') = \text{epr}(B)$ , and because  $\ell_3 = \mathbf{N}$ ,

$$281 \quad 0 = \det B'[\{1, k, j\}] = \det \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & x \\ 1 & \bar{x} & 0 \end{bmatrix} = x + \bar{x} = 2 \operatorname{Re}(x),$$

282 for some  $x$ . Thus,  $B'(\{1\}) = iK'$ , where  $K'$  is an  $(n-1) \times (n-1)$  real skew-  
 283 symmetric matrix. Let  $D_2 = \operatorname{diag}(1, i, \dots, i)$ ,  $B'' = D_2^* B' D_2$  and  $B'' = [b''_{kj}]$ . For  
 284  $k, j > 1$ , observe that  $b''_{kj} = b'_{kj}$ ,  $b''_{1j} = i$  and  $b''_{j1} = -i$ . Thus,  $B'' = iK''$ , where  $K''$   
 285 is real and skew-symmetric. Since  $\text{epr}(K'')$  is real skew-like, observing that  $\text{epr}(B) =$   
 286  $\text{epr}(B') = \text{epr}(B'') = \text{epr}(K'')$  leads to the desired conclusion.  $\square$

287 **COROLLARY 3.8.** *Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_k \ell_{k+1} \ell_{k+2} =$   
 288  $\mathbf{NAN}$ . Then  $\ell_{k+2j} = \mathbf{N}$  for  $k+2j \leq n$ .*

289 *Proof.* Since the case with  $k=1$  is covered by Proposition 3.7, assume  $k \geq 2$ .  
 290 Suppose to the contrary that  $\ell_{k+2j} \neq \mathbf{N}$  for some  $j \geq 2$ . By the Inheritance Theorem,  
 291  $B$  has a  $(k+2j) \times (k+2j)$  principal submatrix  $B'$  with  $\text{epr}(B') = \ell'_1 \ell'_2 \cdots \ell'_{k+2j}$  having  
 292  $\ell'_k \ell'_{k+1} \ell'_{k+2} = \mathbf{NAN}$  and  $\ell'_{k+2j} = \mathbf{A}$ . By the NN Theorem,  $\ell'_{k-1} \neq \mathbf{N}$ , implying that  $B'$  has  
 293 a nonsingular  $(k-1) \times (k-1)$  principal submatrix, say  $B'[\alpha]$ . It follows from Corollary  
 294 1.11 that  $B'/B'[\alpha]$  is a (Hermitian) matrix of order  $(k+2j) - (k-1) = 2j+1$ , with  
 295  $\text{epr}(B'/B'[\alpha]) = \mathbf{NAN} \cdots \mathbf{A}$ ; since  $\text{epr}(B'/B'[\alpha])$  does not contain  $\mathbf{N}$  in the odd position  
 296  $2j+1$ ,  $\text{epr}(B'/B'[\alpha])$  is not real skew-like, a contradiction to Proposition 3.7.  $\square$

297 Unlike for symmetric matrices over a field of characteristic not 2 (see [4, Theorem  
 298 2.14]), it is shown in Section 6 that the epr-sequence  $\mathbf{NAS}$  is not prohibited in the  
 299 epr-sequence of a Hermitian matrix; however,  $\mathbf{NAS}$  is prohibited if it occurs in the  
 300 subsequence  $\mathbf{ANAS}$ .

301 **PROPOSITION 3.9.** *The epr-sequence  $\mathbf{ANAS}$  cannot occur as a subsequence of the  
 302 epr-sequence of a Hermitian matrix.*

303 *Proof.* Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_k \cdots \ell_{k+3} = \mathbf{ANAS}$ . By  
 304 Proposition 2.1,  $k \geq 2$ . Then, by Proposition 2.3 and Corollary 3.8,  $\ell_{k-1} = \mathbf{A}$ . By  
 305 the Inheritance Theorem,  $B$  has a  $(k+3) \times (k+3)$  principal submatrix  $C$  with epr-  
 306 sequence  $\cdots \mathbf{AANAN}$ . By Corollary 1.11, the epr-sequence of the Schur complement in  
 307  $C$  of a (necessarily nonsingular)  $(k-1) \times (k-1)$  principal submatrix, has epr-sequence  
 308  $\mathbf{ANAN}$ , contradicting Proposition 2.1.  $\square$

309 PROPOSITION 3.10. Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_k \ell_{k+1} \ell_{k+2} =$   
310  $\text{NAN}$ , where  $k$  is even. Then  $\ell_j = \text{N}$  for all  $j \geq k + 2$ .

311 *Proof.* By the MN Theorem, it suffices to show that  $\ell_{k+3} = \text{N}$ . Suppose to the  
312 contrary that  $\ell_{k+3} \neq \text{N}$ . By the Inheritance Theorem and the Inverse Theorem,  $B$  has  
313 a nonsingular  $(k + 3) \times (k + 3)$  principal submatrix whose inverse has epr-sequence  
314  $\text{NAN} \cdots \text{A}$ . This contradicts Proposition 3.7, since  $k + 3$  is odd.  $\square$

315 THEOREM 3.11. Over  $\mathbb{H}_n$ , every attainable epr-sequence containing  $\text{NANA}$  is real  
316 skew-like.

317 *Proof.* Let  $B \in \mathbb{H}_n$  with  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  $\ell_k \ell_{k+1} \ell_{k+2} \ell_{k+3} = \text{NANA}$ .  
318 By Proposition 3.10,  $k$  is odd. By Corollary 3.8,  $\ell_k \cdots \ell_n$  is real skew-like. To conclude,  
319 we show that  $\ell_1 \cdots \ell_{k-1}$  is real skew-like. For the sake of contradiction, suppose  
320  $\ell_j \neq \text{N}$  for some odd  $j$  with  $1 \leq j \leq k - 1$ . By the Inheritance Theorem,  $B$  has a  
321 nonsingular  $(k + 3) \times (k + 3)$  principal submatrix  $B'$  whose epr-sequence  $\ell'_1 \ell'_2 \cdots \ell'_{k+3}$   
322 has  $\ell'_k \cdots \ell'_{k+3} = \text{NANA}$  and  $\ell'_j \neq \text{N}$ . By the Inverse Theorem,  $\text{epr}((B')^{-1}) = \text{NAN} \cdots$   
323 does not have  $\text{N}$  in position  $(k + 3) - j$ , contradicting Proposition 3.7, because  $(k + 3) - j$   
324 is odd.  $\square$

325 COROLLARY 3.12. Let  $B \in \mathbb{H}_n$  and  $\text{epr}(B) = \ell_1 \ell_2 \cdots \ell_n$ . Suppose  
326  $\ell_k \ell_{k+1} \ell_{k+2} \ell_{k+3} = \text{NANS}$ , where  $n > k + 3$ . Then the following hold.

- 327 1.  $k$  is odd;
- 328 2.  $\ell_k \cdots \ell_n$  is real skew-like;
- 329 3.  $\ell_j \neq \text{A}$  for odd  $j$ .

330 *Proof.* (1): By Proposition 3.10,  $k$  is odd. (2): The assertion that  $\ell_k \cdots \ell_n$  is real  
331 skew-like follows from Corollary 3.8. (3): The conclusion is already established in (2)  
332 for odd  $j > k - 2$ . Now, suppose to the contrary that  $\ell_j = \text{A}$  for some odd  $j \leq k - 2$ .  
333 By the Inheritance Theorem,  $B$  has a  $(k + 3) \times (k + 3)$  principal submatrix  $B'$  with  
334  $\text{epr}(B') = \cdots \text{A} \cdots \text{NANA}$  having  $\text{A}$  in the odd position  $j$ , implying that  $\text{epr}(B')$  is not  
335 real skew-like, a contradiction to Theorem 3.11.  $\square$

336 CONJECTURE 3.13. Over  $\mathbb{H}_n$ , every attainable epr-sequence containing  $\text{NAN}$  is  
337 real skew-like.

338 **4. Hermitian adjacency matrices of mixed graphs.** Introduced by Liu and  
339 Li in [8], and independently by Guo and Mohar in [6], the Hermitian adjacency matrix  
340 associates a Hermitian matrix with a (simple) mixed graph or (simple) digraph. The  
341 term *simple* means that loops and duplicate edges (directed or undirected) are not  
342 allowed; since all our graphs and digraphs are simple we omit the term ‘simple’ and  
343 define graphs and digraphs to prohibit loops and duplicates. Technically a mixed

344 graph may have both undirected edges and directed edges but may not have more  
 345 than one edge of any kind between a given pair of vertices, whereas in a digraph  
 346 all edges are directed, it is permitted to have both directed edges  $(u, v)$  and  $(v, u)$ ,  
 347 but more than one copy of any directed edge is prohibited. There is a one-to-one  
 348 correspondence between mixed graphs and digraphs, by associating an undirected  
 349 edge  $\{u, v\}$  with the pair of directed edges  $(u, v)$  and  $(v, u)$ . We use the term  
 350 mixed graph, since that was the original term in [8] and more naturally generalizes  
 351 the adjacency matrix of an (undirected) graph. We will use  $uv$  to denote an edge  
 352 between  $u$  and  $v$ , either directed or undirected. The underlying graph  $G_\Gamma$  of a mixed  
 353 graph  $\Gamma$  is the graph obtained from  $\Gamma$  by replacing every directed edge  $(u, v)$  by the  
 354 undirected edge  $\{u, v\}$ .

355 Let  $\Gamma$  be a mixed graph on  $n$  vertices. The *Hermitian adjacency matrix*  $\mathcal{H}(\Gamma) =$   
 356  $[h_{kj}]$  is the  $n \times n$  matrix with entries over the complex field given by

$$357 \quad h_{kj} = \begin{cases} 1 & \text{if } \Gamma \text{ has an undirected edge from } k \text{ to } j; \\ i & \text{if } \Gamma \text{ has a directed edge from } k \text{ to } j; \\ -i & \text{if } \Gamma \text{ has a directed edge from } j \text{ to } k; \\ 0 & \text{otherwise.} \end{cases}$$

358 This generalizes the usual (0,1) adjacency matrix for an undirected graph.

359 **EXAMPLE 4.1.** *The  $6 \times 6$  Hermitian adjacency matrix corresponding to the mixed*  
 360 *graph shown in Figure 4.1 is*

$$361 \quad M_{\text{NSNASA}} = \begin{bmatrix} 0 & 0 & i & -i & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & -i \\ -i & 0 & 0 & 0 & 1 & 1 \\ i & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & i & 1 & 1 & 0 & 0 \end{bmatrix},$$

which has epr-sequence NSNASA.

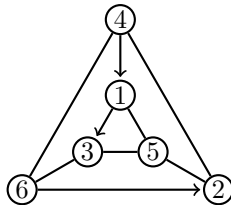


FIG. 4.1. *The mixed graph for Example 4.1*

362

363 We now consider the epr-sequences attainable by Hermitian adjacency matrices,

364 which must start with N. There are additional restrictions on any Hermitian adjacency  
 365 matrix with epr-sequence starting NA.

366 PROPOSITION 4.2. *Suppose  $\Gamma$  is a mixed graph of order  $n$ , let  $\mathcal{H}(\Gamma) = H = [h_{kj}]$ ,  
 367 and  $\text{epr}(H) = \ell_1 \cdots \ell_n$ .*

- 368 1.  $\ell_1 = \mathbf{N}$ .
- 369 2. For  $n \geq 2$ ,  $\ell_2 = \mathbf{A}$  if and only if  $G_\Gamma$  is a complete graph.
- 370 3. For  $n \geq 4$ ,  $\ell_2 = \mathbf{A}$  implies  $\ell_4 = \mathbf{A}$ .

371 *Proof.* The first two statements are clear. For the third, suppose  $H$  has a  
 372  $4 \times 4$  principal submatrix  $H' = [h'_{kj}]$ . By the Inheritance Theorem,  $\text{epr}(H')$  starts  
 373 with NA, which implies that every off-diagonal entry of  $H'$  is nonzero. With  $D =$   
 374  $\text{diag}(1, \frac{1}{h'_{12}}, \frac{1}{h'_{13}}, \frac{1}{h'_{14}})$ ,

$$375 \quad D^* H' D = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & a & \bar{b} \\ 1 & \bar{a} & 0 & c \\ 1 & b & \bar{c} & 0 \end{bmatrix},$$

376 for some  $a, b, c \in \{\pm 1, \pm i\}$ . It follows that  
 377  $\det(D^* H' D) = |a|^2 + |b|^2 + |c|^2 - 2 \text{Re}(ab + ac + bc) = 3 - 2 \text{Re}(ab + ac + bc) \neq 0$ .  
 378 Thus  $D^* H' D$ , and therefore  $H'$ , is nonsingular.  $\square$

379 Theorem 4.3 below characterizes Hermitian adjacency matrices that begin NAN,  
 380 strengthening Proposition 3.7 for such matrices. A *tournament* is an oriented com-  
 381 plete graph, i.e., every edge is directed. Thus, the Hermitian adjacency matrix of  
 382 a tournament has a 0 diagonal and  $\pm i$  off-diagonal entries such that the resulting  
 383 matrix is Hermitian.

384 THEOREM 4.3. *Suppose  $H$  is the Hermitian adjacency matrix of a mixed graph  
 385  $\Gamma$  of order  $n$ . The following are equivalent:*

- 386 1.  $\text{epr}(H) = \text{NAN} \cdots$ .
- 387 2.  $G_\Gamma$  is complete and each triangle in  $\Gamma$  contains an odd number of directed  
 388 edges.
- 389 3.  $G_\Gamma$  is complete and
  - 390 (a)  $\Gamma$  is a tournament, or
  - 391 (b) every vertex is incident with an undirected edge and the subgraph of  
 392 undirected edges is a complete bipartite graph.

393 *If these conditions hold, then  $\text{epr}(H) = \text{NANAN}$  if  $n$  is odd, and  $\text{epr}(H) = \text{NANANA}$  if  $n$   
 394 is even.*

395 *Proof.* For  $u, s, t \in V(\Gamma)$ ,  $H[\{u, s, t\}] = \begin{bmatrix} 0 & a & \bar{c} \\ \bar{a} & 0 & b \\ c & \bar{b} & 0 \end{bmatrix}$  with  $a, b, c \in \{1, \pm i\}$ , and

396  $\det H[\{u, s, t\}] = abc + \overline{abc} = 2 \operatorname{Re}(abc) = 0$  if and only if  $abc$  is purely imaginary.

397 (1)  $\Rightarrow$  (2): Since every  $2 \times 2$  principal submatrix is nonsingular, every off-diagonal  
398 entry is nonzero, and  $G_\Gamma$  is complete. Since every  $3 \times 3$  principal submatrix is singular,  
399  $abc$  is purely imaginary. Since  $a, b, c \in \{1, \pm i\}$ , exactly one or three of  $a, b, c$  are purely  
400 imaginary, i.e., one or three of the pairs of vertices taken from  $u, s, t$  are directed.

401 (2)  $\Rightarrow$  (3): If  $\Gamma$  has no undirected edges, then  $\Gamma$  is a tournament, because the  
402 underlying graph of  $\Gamma$  is complete. So suppose  $\Gamma$  has an undirected edge and  $v$  is a  
403 vertex incident with an undirected edge. Partition the vertices of  $\Gamma$  as follows:  $V_1$   
404 is  $v$  together with the set of vertices adjacent to  $v$  by a directed edge and  $V_2$  the  
405 set of vertices adjacent to  $v$  by an undirected edge. Since the underlying graph is  
406 complete, all vertices are in one of these sets and they are clearly disjoint. Let  $G$  be  
407 the subgraph of  $\Gamma$  having  $V(G) = V(\Gamma)$  and  $E(G)$  is the set of undirected edges in  
408  $\Gamma$ . We show  $G$  is a complete bipartite graph with partite sets  $V_1$  and  $V_2$ . Suppose  
409 that  $x, y \in V_1$  and  $w, z \in V_2$ . By definition of  $V_1$ ,  $vx$  and  $vy$  are directed edges. Since  
410  $\Gamma[\{v, x, y\}]$  can't have exactly two directed edges,  $xy$  is directed. By definition of  $V_2$ ,  
411  $vw$  and  $vz$  are undirected. Since  $\Gamma[\{v, w, z\}]$  must have at least one directed edge,  $zw$   
412 is directed. Thus all edges of  $G$  (undirected edges of  $\Gamma$ ) are between  $V_1$  and  $V_2$ , so  $G$   
413 is bipartite. By definition of  $V_1$  and  $V_2$ ,  $vx$  is directed and  $vw$  is undirected. Since  
414  $\Gamma[\{v, x, w\}]$  can't have exactly two directed edges,  $xw$  is undirected. Thus  $G$  is the  
415 complete bipartite graph with partite sets  $V_1$  and  $V_2$ .

416 (3)  $\Rightarrow$  (1): If  $\Gamma$  has no undirected edges, then  $\Gamma$  is a tournament and the values  
417  $a, b$ , and  $c$  in  $H[\{u, s, t\}]$  are all purely imaginary, so  $abc$  is purely imaginary and  
418  $H[\{u, s, t\}]$  is singular. So assume every vertex is incident with an undirected edge  
419 and the subgraph of undirected edges is complete bipartite. Choose any three vertices.  
420 If they are all in the same partite set then the triangle has 3 directed edges and as  
421 before  $H[\{u, s, t\}]$  is singular. If two are in one partite set and one in the other, then  
422 without loss of generality  $a = 1, b = 1$  and  $c = \pm i$ , so  $abc = \pm i$  and  $H[\{u, s, t\}]$  is  
423 singular.

424 Now assume  $n \geq 4$  and  $\operatorname{epr}(H) = \operatorname{NAN}\ell_4 \cdots \ell_n$ . With  $D = \operatorname{diag}(1, \frac{1}{h_{12}}, \dots, \frac{1}{h_{1n}})$ ,  
425  $H' := D^* H D = \begin{bmatrix} 0 & \mathbf{1}^T \\ \mathbf{1} & H'(\{1\}) \end{bmatrix}$ , where  $H'(\{1\})$  has zero diagonal and off-diagonal  
426 entries in  $\{\pm 1, \pm i\}$ . Since  $\operatorname{epr}(H') = \operatorname{epr}(H)$ ,

$$427 \quad 0 = \det H'[\{1, k, j\}] = \det \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & x \\ 1 & \bar{x} & 0 \end{bmatrix} = x + \bar{x} = 2 \operatorname{Re}(x),$$

428 for some  $x$ . Since this determinant must be zero,  $x \in \{\pm i\}$  and  $H'(\{1\}) = iK'$ ,  
429 where  $K'$  is an  $(n-1) \times (n-1)$  real skew-symmetric matrix with every off-diagonal  
430 entry  $\pm 1$ . Let  $D_2 = \text{diag}(1, i, \dots, i)$  and  $H'' = D_2^* H' D_2$ . Then  $H''$  is a Hermitian  
431 matrix with every diagonal entry equal to zero and with every off-diagonal entry  
432 equal to  $\pm i$ . Observe that  $H'' = iK$  where  $K$  is a real skew-symmetric matrix with  
433 every off-diagonal entry equal to 1 or  $-1$ , and every principal submatrix of  $K$  is  
434 also a skew-symmetric matrix. The determinant of such a skew-symmetric matrix is  
435 zero for all odd orders, and is nonzero for all even orders [10, Proposition 1]. Thus,  
436  $\text{epr}(H'') = \text{NANAN}$  if  $n$  is odd,  $\text{epr}(H'') = \text{NANANA}$  if  $n$  is even, and  $\text{epr}(H) = \text{epr}(H'')$ .  
437  $\square$

438 Note that the epr-sequence  $\text{NAN}$  is not attainable by any real symmetric matrix  
439 [4, Theorem 2.14], but, by Theorem 4.3, it is attainable by the Hermitian adjacency  
440 matrix of a tournament of order 3. The next result gives another restriction for  
441 epr-sequences of  $(0, 1)$  adjacency matrices.

442 **PROPOSITION 4.4.** *Let  $n \geq 5$ ,  $B$  be a  $(0, 1)$  adjacency matrix with  $\text{epr}(B) =$   
443  $\text{N}\ell_2\ell_3\text{A}\ell_5 \cdots \ell_n$ . Then  $\ell_5 = \text{A}$ .*

444 *Proof.* Suppose to the contrary that  $\ell_5 \neq \text{A}$ . By the Inheritance Theorem,  $B$  has  
445 a  $5 \times 5$  principal submatrix  $B' = [b'_{kj}]$  having  $\text{epr}(B') = \text{N}\ell'_2\ell'_3\text{AN}$ . By the NN Theorem,  
446  $\ell'_2 \neq \text{N}$ . We claim that  $\ell'_2 = \text{S}$ : Otherwise,  $\ell'_2 = \text{A}$ , and therefore each off-diagonal  
447 entry of  $B'$  must be nonzero, This would imply that  $B' = J_5 - I_5$ , which is impossible  
448 since  $J_5 - I_5$  is nonsingular. Thus,  $\ell'_2 = \text{S}$ .

449 Therefore  $B'$  must have a singular  $2 \times 2$  principal submatrix, which must be  $O_{2 \times 2}$ .  
450 We may assume, without loss of generality, that  $B'[\{1, 2\}] = O_{2 \times 2}$ . Since every  $4 \times 4$   
451 principal submatrix of  $B'$  is nonsingular, each row (and column) of  $B'$  must contain  
452 at least two nonzero entries (otherwise  $B'$  would have a  $4 \times 4$  principal submatrix  
453 with a row consisting of only zeros); without loss of generality, we may assume that  
454  $b'_{13} = b'_{14} = 1$ . Similarly, the second row (and column) must contain at least two  
455 nonzero entries, implying that at least one of  $b'_{23}$  and  $b'_{24}$  must be nonzero; we may  
456 assume that  $b'_{23} = 1$ . Since  $B'[\{1, 2\}] = O_{2 \times 2}$ , and because every  $4 \times 4$  principal  
457 submatrix of  $B'$  is nonsingular, every  $2 \times 2$  submatrix of  $B'[\{1, 2\}, \{3, 4, 5\}]$  must be  
458 nonsingular, implying that  $b'_{24} = 0$ , and consequently that  $b'_{25} = 1$  and  $b'_{15} = 0$ .  
459 It follows that  $0 = \det(B') = 2(b'_{45} - b'_{34} - b'_{35})$ ; thus,  $b'_{45} - b'_{34} - b'_{35} = 0$ . Now  
460 we have  $b'_{34}{}^2 = (b'_{45} - b'_{35})^2 = \det B'[\{1, 3, 4, 5\}] \neq 0$  and  $b'_{35}{}^2 = (b'_{45} - b'_{34})^2 =$   
461  $\det B'[\{2, 3, 4, 5\}] \neq 0$ , implying that  $b'_{34} = b'_{35} = 1$ , and therefore that  $b'_{45} = 2$ , a  
462 contradiction, since  $B'$  is a  $(0, 1)$  adjacency matrix.  $\square$

463 Using Propositions 4.2 and 4.4, we can deduce that an epr-sequence of order  
464  $n = 2, 3, 4$ , or  $5$  is attainable by a  $(0, 1)$  adjacency matrix if and only if the realization

465 provided in [4, Tables 2–5] is a (0,1) adjacency matrix (that is, the listed matrix is  
 466 an adjacency matrix, a matrix of the form  $(J_s - I_s) \oplus 0_{n-s}$ , or a zero matrix).

467 **REMARK 4.5.** It follows from Proposition 4.4 that the epr-sequences **NSSAN** and  
 468 **NAAAN** cannot be realized by a (0,1) adjacency matrix; however, they are attainable  
 469 by real symmetric matrices (see [4, Example 5.6]) and, as the next example shows,  
 470 by Hermitian adjacency matrices.

471 **EXAMPLE 4.6.** The Hermitian adjacency matrices  $M_{\mathbf{NAAAN}}$  and  $M_{\mathbf{NSSAN}}$  below have  
 472 epr-sequences **NAAAN** and **NSSAN**, respectively.

$$473 \quad M_{\mathbf{NAAAN}} = \begin{bmatrix} 0 & 1 & 1 & i & i \\ 1 & 0 & 1 & i & -i \\ 1 & 1 & 0 & -i & -i \\ -i & -i & i & 0 & 1 \\ -i & i & i & 1 & 0 \end{bmatrix}, \quad M_{\mathbf{NSSAN}} = \begin{bmatrix} 0 & 0 & i & 0 & -i \\ 0 & 0 & 0 & 1 & 1 \\ -i & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ i & 1 & 1 & 1 & 0 \end{bmatrix}.$$

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475 **5. Probabilistic techniques for constructing attainable sequences.** In  
 476 this section we use probabilistic methods to establish that any epr-sequence that  
 477 begins with an **N**, followed by zero or more **As**, **Ss**, and **Ns** in that order can be realized  
 478 by a Hermitian matrix.

479 **THEOREM 5.1.** *Every epr-sequence of the form  $\mathbf{N}\bar{\mathbf{A}}\bar{\mathbf{S}}\bar{\mathbf{N}}$  that does not end in **S** is*  
 480 *attained by a Hermitian matrix.*

481 *Proof.* First we introduce notation for some parameters that specify which epr-  
 482 sequence of the form  $\mathbf{N}\bar{\mathbf{A}}\bar{\mathbf{S}}\bar{\mathbf{N}}$  is desired. If there is at least one **A**, let  $a$  denote the  
 483 position of the last **A**; otherwise set  $a = 1$ . If there is at least one **S**, let  $s$  denote the  
 484 position of the last **S**; otherwise set  $s = a$ . As usual, let  $n$  denote the order of the  
 485 matrix. In general we have  $1 \leq a \leq s \leq n$ . The zero matrix of size  $n$  satisfies the  
 486 case  $s = 1$ , so henceforth we assume  $s \geq 2$ . The fact that the sequence does not end  
 487 in **S** is equivalent to requiring that  $s > a$  implies  $n > s$ .

488 Let  $D$  be the  $s \times s$  diagonal matrix whose first  $s - 1$  diagonal entries are 1, followed  
 489 by a single  $-1$ . We give a construction of an  $s \times n$  matrix  $T$  in such a way that, with  
 490 probability 1, the  $n \times n$  matrix  $B = T^*DT$  has the desired epr-sequence (in particular,  
 491 *some* matrix  $T$  works). We divide  $T$  into two blocks: Its first  $s - 1$  rows will be called  
 492  $U$ , and its last row will have 1 in every entry. The block  $U$  is further divided into  
 493 blocks consisting of an identity matrix of width  $s - 1$ , a vector  $\mathbf{z}$  (of width 1), and a  
 494 matrix  $R$  of width  $n - s$ , as follows:

$$495 \quad T = \begin{bmatrix} U \\ \mathbf{1}_n^T \end{bmatrix}, \quad U = [I_{s-1} \quad \mathbf{z} \quad R].$$



496 The columns of  $R$  are chosen randomly and independently from the set of unit vectors  
 497 in  $\mathbb{C}^{s-1}$ . (To be concrete, their real and imaginary parts are chosen from the standard  
 498 measure on the unit sphere of dimension  $2s - 3$  embedded in  $\mathbb{R}^{2s-2}$ .) In the extreme  
 499 case  $s = 2$ , for example, the columns of  $R$  are scalars chosen from the unit circle in  
 500 the complex plane, which generically means that no two of them are equal and none  
 501 equal 1.

502 The vector  $\mathbf{z}$  is constructed in a way that depends on the values of  $a$  and  $s$ ,  
 503 according to these three cases:

- 504 1. For  $s = a$ ,  $\mathbf{z}$  is a random unit vector in  $\mathbb{C}^{s-1}$ , like the columns of  $R$ .
- 505 2. For  $s > a$  and  $a = 1$ ,  $\mathbf{z}$  is a repetition of the first column of  $I_{s-1}$ .
- 506 3. For  $s > a$  and  $a > 1$ ,  $\mathbf{z} = [z_j]$  is given by

$$\begin{aligned}
 507 \quad z_1 &= \frac{1}{a} + i\sqrt{\frac{a-1}{2a}}, \\
 508 \quad z_2 &= \frac{1}{a} - i\sqrt{\frac{a-1}{2a}}, \\
 509 \quad z_3 = \dots = z_a &= \frac{1}{a}, \\
 510 \quad z_{a+1} = \dots = z_{s-1} &= 0.
 \end{aligned}$$

511 In all cases  $\mathbf{z}$  is a unit vector in  $\mathbb{C}^{s-1}$ . In both Case 2 and Case 3, or in other words  
 512 whenever the desired epr-sequence contains at least one  $\mathbf{S}$ ,  $\mathbf{z}$  is designed in such a way  
 513 that the sum of its entries is 1 and such that its entries are nonzero in exactly the  
 514 first  $a$  rows.

515 Let  $\text{epr}(B) = \ell_1 \cdots \ell_n$ . To complete the proof we show the four necessary conclu-  
 516 sions:

- 517 (A)  $\ell_k = \mathbf{N}$  for  $k = 1$ ,
- 518 (B)  $\ell_k = \mathbf{A}$  for  $1 < k \leq a$ ,
- 519 (C)  $\ell_k = \mathbf{S}$  for  $a < k \leq s$ , and
- 520 (D)  $\ell_k = \mathbf{N}$  for  $s < k \leq n$ ,

521 which must hold with probability 1 in all cases. Given  $\alpha \subseteq [n]$ , we let  $T_\alpha$  and  $U_\alpha$   
 522 denote respectively the matrices  $T[[s], \alpha]$  and  $U[[s-1], \alpha]$  that select the subset  $\alpha$  of  
 523 columns. Since  $B[\alpha] = T_\alpha^* D T_\alpha$ , the rank of  $B[\alpha]$  is at most the rank of  $D$ , namely  $s$ ,  
 524 which gives us Conclusion (D).

525 We define the matrix  $C = U^* U$ . Since every column of  $U$  is a unit vector,  $C$  is a  
 526 complex correlation matrix. We observe that for every  $\alpha \subseteq [n]$ , letting  $k = |\alpha|$ ,

$$527 \quad B[\alpha] = T_\alpha^* D T_\alpha = U_\alpha^* U_\alpha - \mathbf{1}_k \mathbf{1}_k^T = C[\alpha] - J_k. \quad (5.1)$$

528 For  $\alpha = \{j\}$ , this becomes

$$B[\{j\}] = U_{\{j\}}^* U_{\{j\}} - 1 = C[\{j\}] - J_1 = 0,$$

which gives us Conclusion (A).

In Case 1, Conclusion (C) is trivial. For Cases 2 and 3, define a subset  $\beta = [a] \cup \{s\}$  of size  $a + 1$ . Since the nonzero entries of  $\mathbf{z}$  lie in its first  $a$  rows and have a sum of 1, the last row of  $T_\beta$  is the sum of its first  $a$  rows, and all other rows are zero. It follows that the columns of  $T_\beta$  form a dependent set. Thus for any  $\alpha$  containing  $\beta$  as a subset, and in particular for  $\alpha = [k - 1] \cup \{s\}$  in the range  $a < k \leq s$ , the columns of  $T_\alpha$  are also dependent and  $B[\alpha] = T_\alpha^* D T_\alpha$  is singular. This shows that  $\ell_k \neq \mathbf{A}$  for any  $a < k \leq s$ , which gives half of Conclusion (C).

Given  $\alpha \subseteq [n]$  with  $|\alpha| = k$ , we have shown that  $B[\alpha]$  is singular when  $k > s$ , when  $k = 1$ , or when  $\beta$  is defined (that is,  $s > a$ ) and  $\beta \subseteq \alpha$ . In fact we will show that these are, with probability 1, the only conditions giving rise to singular  $B[\alpha]$ .

To that end we make the assumption  $\beta \not\subseteq \alpha$ , and establish the following three claims:

- (i) If  $k \geq 2$ , then  $C[\alpha] \neq J_k$  with probability 1.
- (ii) If  $k \leq s - 1$ , then the columns of  $U_\alpha$  are independent with probability 1.
- (iii) If  $k = s$ , then the columns of  $T_\alpha$  are independent with probability 1.

Claim (i) is equivalent, for  $k \geq 2$ , to the assertion that, with probability 1, at least two of the unit vector columns of  $U_\alpha$  are not equal. The only two columns of  $U$  that could be equal, by construction and with positive probability, occur in Case 2 and are precisely the two columns of  $U_\beta$ , so Claim (i) always holds.

Claim (ii) is verified by induction on  $k$ . For  $k = 1$  the fact that the columns of  $U$  are unit vectors suffices. Suppose then that  $\alpha = \{\alpha_1, \dots, \alpha_k\}$  for some  $1 < k \leq s - 1$  and that columns  $\{\alpha_1, \dots, \alpha_{k-1}\}$  of  $U$  are independent. If  $\alpha_k < s$ ,  $U_\alpha$  is an independent subset of the columns of  $I_{s-1}$ . If  $\alpha_k = s$  in Case 2 or 3, then  $\beta \not\subseteq \alpha$  means that one of the nonzero entries  $1, \dots, a$  of  $\mathbf{z}$  is the only nonzero entry in its row of  $U_\alpha$ , allowing row reduction to a subset of the columns of  $I_{s-1}$ . The remaining possibilities are the randomly chosen vectors: either  $\alpha_k > s$  or  $\alpha_k = s = a$ . The first  $k - 1$  columns of  $U_\alpha$  span a subspace of dimension  $k - 1 < s - 1$  in which a randomly chosen unit vector will not lie, giving with probability 1 an independent set of columns for  $U_\alpha$ .

For Claim (iii),  $k = s$  and  $\beta \not\subseteq \alpha$  imply that the last column of  $T_\alpha$  must come from one of the randomly chosen columns of  $U$ . This corresponds in  $T$  to a set in  $\mathbb{C}^s$  of real dimension  $2s - 3$ , always with last entry equal to 1. Allowing arbitrary complex scaling, which does not affect independence, expands this to a set of real dimension  $2s - 1$  in  $\mathbb{C}^s$ , which in particular is higher than the real dimension  $2s - 2$  of the complex span of the first  $s - 1$  columns of  $T_\alpha$ . It follows that with probability

566 1 the columns of  $T_\alpha$  are independent.

567 Suppose now that  $\alpha$  is a set for which  $B[\alpha]$  has not already been shown to be  
 568 singular. In Case 1 this corresponds to  $1 < k \leq s$ , and in Cases 2 and 3 this  
 569 corresponds to  $1 < k \leq s$  and  $\beta \not\subseteq \alpha$ . For  $1 < k < s$ , Claims (ii) and (i) establish with  
 570 probability 1 that  $C[\alpha]$  is positive definite, with minimum eigenvalue  $\epsilon > 0$ , and not  
 571 equal to  $J_k$ . By (5.1),  $B[\alpha] = C[\alpha] - J_k$  is thus a nonzero Hermitian matrix with zeros  
 572 on the diagonal, implying that it must have at least one strictly negative eigenvalue.  
 573 But  $B[\alpha]$  is a rank-one perturbation of  $C[\alpha]$ , with at most one eigenvalue lower than  
 574  $\epsilon$ . It follows that  $B[\alpha]$  is nonsingular. For  $k = s$ , Claim (iii) establishes that  $T_\alpha$  is an  
 575 invertible  $s \times s$  matrix, and so  $B[\alpha] = T_\alpha^* D T_\alpha$  is also nonsingular.

576 Since  $\beta$ , when defined, satisfies  $|\beta| = a + 1$ ,  $k \leq a$  implies either that  $\beta$  is not  
 577 defined or that  $\beta \not\subseteq \alpha$ , which are now sufficient to deduce Conclusion (B). In Case 1,  
 578 Conclusion (C) is trivial, and in Cases 2 and 3 we have  $n > s$  and in particular that  
 579 the index  $s + 1$  exists. The nonsingularity of  $B[\alpha]$  for the sets  $\alpha = [k - 1] \cup \{s + 1\}$   
 580 in the range  $a < k \leq s$  is now sufficient to give  $\ell_k \neq \mathbb{N}$  for  $a < k \leq n$ , giving the other  
 581 half of Conclusion (C) and completing the proof.  $\square$

582 **6. Epr-sequences over  $\mathbb{H}_n \setminus \mathbb{R}_n$  for  $n \leq 5$ .** Sequences attainable over  $\mathbb{R}_n$  are  
 583 listed in [4, Tables 2 - 5]. Note that  $\text{attain}(\mathbb{H}_1) = \text{attain}(\mathbb{R}_1)$  and  $\text{attain}(\mathbb{H}_2) =$   
 584  $\text{attain}(\mathbb{R}_2)$ . The only order 3 epr-sequences that are not attainable over the real  
 585 numbers are NAN, NNA, NSA, and SNA. Since NNA, NSA, and SNA are prohibited by  
 586 the NN Theorem, the NSA Theorem, and Proposition 1.6, NAN is the only epr-sequence  
 587 of order 3 that could be attained by a Hermitian matrix but not by a real symmetric  
 588 matrix. Furthermore, NAN is in fact attained by a tournament (see Theorem 4.3).

589 We list all attainable sequences over  $\mathbb{H}_n$  that are not attainable over  $\mathbb{R}_n$  for  
 590  $n = 4$  and  $5$  in Tables 6.1 and 6.2 below. By the Inverse Theorem, the attainability of  
 591  $\ell_1 \ell_2 \cdots \ell_{n-1} \mathbf{A}$  implies the attainability of  $\ell_{n-1} \ell_{n-2} \cdots \ell_1 \mathbf{A}$ , and vice versa; thus, for the  
 592 sake of brevity, we say that  $\ell_{n-1} \ell_{n-2} \cdots \ell_1 \mathbf{A}$  is the “inverse of  $\ell_1 \ell_2 \cdots \ell_{n-1} \mathbf{A}$ .” Again,  
 593 for brevity, when the attainability of a sequence is established with a realization that is  
 594 a tournament, we simply say “tournament,” instead of providing a matrix. Hermitian  
 595 adjacency matrices (that are not tournaments) are also identified in the table. If no  
 596 realization is provided for a sequence, then a result is cited.

597 To complete the classification, we need some more matrix examples.

598 EXAMPLE 6.1. Matrices for Tables 6.1 and 6.2.

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$$M_{\text{AANSN}} = \begin{bmatrix} 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & -1 & i & i \\ 1 & -1 & 2 & -i+1 & 0 \\ 1 & -i & i+1 & 2 & -i+1 \\ 1 & -i & 0 & i+1 & 2 \end{bmatrix}, M_{\text{NASAA}} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & i \\ 1 & 1 & 1 & -i & 0 \end{bmatrix},$$

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$$M_{\text{NASAN}} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & i & 1 & 1 \\ 1 & -i & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & i \\ 1 & 1 & 1 & -i & 0 \end{bmatrix}, M_{\text{NASSA}} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & i & 1 & 1-i \\ 1 & -i & 0 & 1-i & -1 \\ 1 & 1 & 1+i & 0 & 2+i \\ 1 & 1+i & -1 & 2-i & 0 \end{bmatrix},$$

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$$M_{\text{NSNAN}} = \begin{bmatrix} 0 & 0 & i & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ -i & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix}, M_{\text{SANSN}} = \begin{bmatrix} -1 & -i+1 & i & -2i-1 & i+1 \\ i+1 & 0 & -i-1 & i+1 & -2i+2 \\ -i & i-1 & 1 & i & i+1 \\ 2i-1 & -i+1 & -i & -1 & i+1 \\ -i+1 & 2i+2 & -i+1 & -i+1 & 0 \end{bmatrix}.$$

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For  $n = 4$ , there are 54 epr-sequences that end in N or A. Thirty-nine of these sequences are attained by matrices in  $\mathbb{H}_n$ , because 5 are listed in Table 6.1 and 34 are attainable over the reals [4, Table 4]. Of the remaining 15 sequences, 7 are not attainable by the NN Theorem and 5 more are forbidden by the NSA Theorem. The remaining 3 sequences are forbidden by Proposition 1.6 or Proposition 2.1. For each unattainable sequence, the specific reason that it is forbidden is listed in [3], and similarly for order 5.

TABLE 6.1

All epr-sequences of order 4 that can be attained by Hermitian matrices but not by real symmetric matrices.

epr-sequence	Hermitian matrix	Result
NANA	tournament	Theorem 4.3
NANN		Remark 3.5
NASA	$M_{\text{NASAA}}(\{1\})$ (Hermitian adjacency matrix)	Example 6.1
NASN	$M_{\text{NASNN}}(\{5\})$	Theorem 5.1
SANA		inverse of NASA

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For  $n = 5$ , there are 162 epr-sequences ending in A or N. Of these 162 sequences, we discard the 33 sequences containing the prohibited subsequences NNA and NNS (NN Theorem), leaving 129 potentially attainable sequences. Of the 129 sequences remaining, 16 contain NSA, which we may also discard (NSA Theorem); that leaves 113 sequences. Among these 113 sequences, 5 are of the form  $\cdots \text{ASN} \cdots \text{A}$ , which are forbidden (NSA Theorem); that leaves 108 sequences. Discarding the 6 sequences having one of the prohibited *initial* subsequences ANAN, ANAS and SANA leaves 102 sequences (see Propositions 2.1 and 2.3). The epr-sequences AANAN, SSNAN, NANA and NSSNA are each forbidden (see Corollary 2.2 and Propositions 3.7 and 2.4), and, thus, are discarded, leaving 98 sequences. Among the remaining 98 sequences, 8 have the unattainable form  $\text{SN} \cdots \text{A} \cdots$  (see Proposition 1.6), which are also discarded; that leaves 90 sequences, which we claim are all attainable. Of these 90 sequences, 75 are

624 the sequences attainable by real symmetric matrices (see [4, Table 5]). The remaining  
625 15 sequences appear in Table 6.2, which are those attainable by Hermitian matrices  
626 but not by real symmetric matrices.

TABLE 6.2

*All epr-sequences of order 5 that can be attained by Hermitian matrices but not by real symmetric matrices.*

epr-sequence	Hermitian matrix	Result
AANSN	$M_{\text{AANSN}}$	Example 6.1
ANAAN		Theorem 3.3
ASANA		inverse of NASAA
NAANA		Theorem 3.3
NANAN	tournament	Theorem 4.3
NANNN		Remark 3.5
NANSN		Remark 3.5
NASAA	$M_{\text{NASAA}}$ (Hermitian adjacency matrix)	Example 6.1
NASAN	$M_{\text{NASAN}}$ (Hermitian adjacency matrix)	Example 6.1
NASNN		Theorem 5.1
NASSA	$M_{\text{NASSA}}$	Example 6.1
NASSN		Theorem 5.1
NSNAN	$M_{\text{NSNAN}}$ (Hermitian adjacency matrix)	Example 6.1
SANSN	$M_{\text{SANSN}}$	Example 6.1
SSANA		inverse of NASSA

627 A natural question now arises: Are all the sequences starting with N in the ta-  
628 bles above attainable by a Hermitian adjacency matrix? Observe that each sequence  
629 (starting with N) whose attainability was not established with a Hermitian adjacency  
630 matrix, starts with NA and does not have A in the 4th position. For a Hermitian  
631 adjacency matrix, this pattern is not allowed by Proposition 4.2, implying that any  
632 sequence starting with N listed in Table 6.1 or 6.2 is attainable by a Hermitian ad-  
633 jacency matrix if and only if the realization provided in these tables is a Hermitian  
634 adjacency matrix.

635 We conclude by noting that, for  $n = 2, 3, 4, 5$ , the set of epr-sequences attainable  
636 by an  $n \times n$  Hermitian adjacency matrix but not by a (0,1) adjacency matrix consists  
637 of NAAAN, NSSAN (see Remark 4.5), NAN, and each sequence in Tables 6.1 and 6.2 whose  
638 corresponding realization is a Hermitian adjacency matrix.

639 **7. Relationships for attainable epr-sequences.** Here we summarize the re-  
640 lationships regarding attainability of epr sequences of the various classes of matrices  
641 that we consider. In addition to the notation  $\mathbb{R}_n, \mathbb{C}_n$  and  $\mathbb{H}_n$  already defined, we

642 denote the  $n \times n$   $(0, 1)$  graph adjacency matrices by  $\mathbb{G}_n$ , and the  $n \times n$  Hermitian  
 643 adjacency matrices of mixed graphs by  $\mathbb{D}_n$ .

644 Clearly  $\text{attain}(\mathbb{R}_n) \subseteq \text{attain}(\mathbb{C}_n)$ ,  $\text{attain}(\mathbb{R}_n) \subseteq \text{attain}(\mathbb{H}_n)$ ,  $\text{attain}(\mathbb{G}_n) \subseteq$   
 645  $\text{attain}(\mathbb{D}_n)$ ,  $\text{attain}(\mathbb{G}_n) \subseteq \text{attain}(\mathbb{R}_n)$ , and  $\text{attain}(\mathbb{D}_n) \subseteq \text{attain}(\mathbb{H}_n)$ . All five classes  
 646  $\text{attain}(\mathbb{R}_n)$ ,  $\text{attain}(\mathbb{C}_n)$ ,  $\text{attain}(\mathbb{H}_n)$ ,  $\text{attain}(\mathbb{G}_n)$ , and  $\text{attain}(\mathbb{D}_n)$  are distinct (examples  
 647 are cited below).

648 The epr-sequence **NAN** shows  $\text{attain}(\mathbb{H}_n) \not\subseteq \text{attain}(\mathbb{C}_n)$  [4, Proposition 2.8 and  
 649 Example 2.9]. For  $\text{attain}(\mathbb{C}_n) \not\subseteq \text{attain}(\mathbb{R}_n)$  see [2, Example 6.8] (when containment  
 650 fails for pr-sequences it necessarily also fails for epr-sequences). An obvious open  
 651 question is the epr-version of a question raised in [1, p. 235].

652 **QUESTION 7.1.** Is  $\text{attain}(\mathbb{C}_n) \subset \text{attain}(\mathbb{H}_n)$ ?

653 For  $(0, 1)$  graph adjacency matrices, Hermitian mixed graph adjacency matrices,  
 654 real symmetric matrices, and (complex) Hermitian matrices, the relationships among  
 655 attainable epr-sequences are known, and in the next table we summarize these rela-  
 656 tionships. If there is an example of an epr-sequence attainable in one class and not in  
 657 another, an example is given; otherwise, a dash – denotes an impossible combination.  
 658 There are many possible examples, but we have selected small and/or meaningful  
 659 ones (e.g., for a sequence not attainable by the adjacency matrix of a graph or mixed  
 660 graph, we have selected an example beginning with **N**).

TABLE 7.1  
 Attainability of epr-sequences by various classes of matrices

	$\in \text{attain}(\mathbb{G}_n)$	$\in \text{attain}(\mathbb{D}_n)$	$\in \text{attain}(\mathbb{R}_n)$	$\in \text{attain}(\mathbb{H}_n)$
$\notin \text{attain}(\mathbb{G}_n)$	–	<b>NAN</b>	<b>NAAN</b>	<b>NAAN</b>
$\notin \text{attain}(\mathbb{D}_n)$	–	–	<b>NAAN</b>	<b>NAAN</b>
$\notin \text{attain}(\mathbb{R}_n)$	–	<b>NAN</b>	–	<b>NAN</b>
$\notin \text{attain}(\mathbb{H}_n)$	–	–	–	–

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